

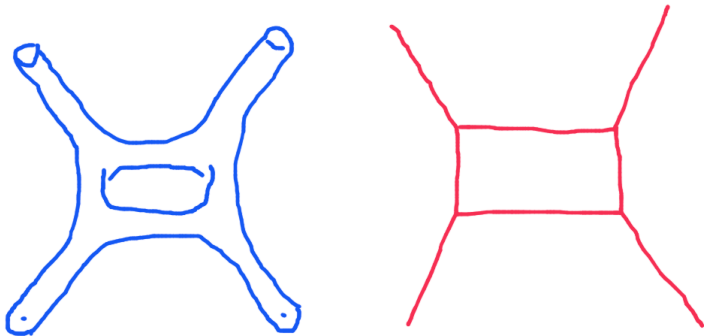
Superstring Perturbation Theory Revisited

Edward Witten, IAS

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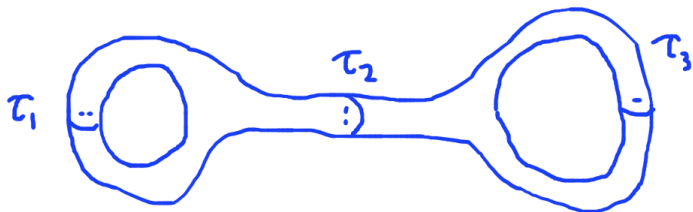
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Bosonic string theory – that is string theory with Riemann surfaces
– resolves the ultraviolet problems of ordinary quantum field
theory, but it has unavoidable infrared problems associated to
tachyons and also to “tadpoles” of massless particles.

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There is another step which is more modest than the passage from Feynman graphs to Riemann surfaces, but in its own way is also quite remarkable. This is the generalization from Riemann surfaces to super Riemann surfaces, leading to superstring theory and spacetime supersymmetry, and providing a framework to resolve the infrared questions.

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Riemann surfaces are certainly very familiar to string theorists, and since complex manifolds of higher dimension are also important in string theory, to some extent string theorists have become algebraic geometers. But super Riemann surfaces have not become so well known, even among string theorists, and the subject has not been so well developed. Partly in consequence, although the key ideas of superstring perturbation theory were well established in the 1980's, some nagging details were never settled.

One reason that super Riemann surfaces are not that well known, even among physicists who actually use superstring perturbation theory, is that in low orders, it is possible in a reasonably simple way to eliminate the “super” structure and express everything in terms of ordinary Riemann surfaces.

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The natural way to develop superstring perturbation theory is in terms of super Riemann surface theory. There has been surprisingly little work along these lines, though a celebrated genus 2 calculation by E. D'Hoker and D. H. Phong used this framework, and an approach to a general story was made in a series of remarkable but little-known papers in the 1990's by A. Belopolsky.

A super Riemann surface (with $N = 1$ SUSY) is a supermanifold of dimension $1|1$, but it has much more structure than that (see Rosly, A. Schwarz, and Voronov (1988) for what I will say and much more). There are far too many $1|1$ supermanifolds.

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One way to define a super Riemann surface is that it is a $1|1$ supermanifold Σ endowed with an “everywhere nonintegrable distribution of rank $0|1$.” This is an odd (or fermionic) line sub-bundle $\mathcal{D} \subset T\Sigma$ (where $T\Sigma$ is the tangent bundle of Σ , whose rank is $1|1$) such that if D is a local nonzero section of \mathcal{D} , then $D^2 = \{D, D\}/2$ is everywhere linearly independent of D and therefore generates the quotient $T\Sigma/\mathcal{D}$.

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$$0 \rightarrow \mathcal{D} \rightarrow T\Sigma \rightarrow \mathcal{D}^2 \rightarrow 0.$$

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Such coordinates are called superconformal coordinates. The superconformal generators (i.e., the vector fields that preserve \mathcal{D}) are then locally of the form $f(z)(\partial_\theta - \theta \partial_z)$ and

$$-(f(z)(\partial_\theta - \theta \partial_z))^2 = g \frac{\partial}{\partial z} + \frac{g'}{2} \theta \frac{\partial}{\partial \theta}, \quad g = f^2,$$

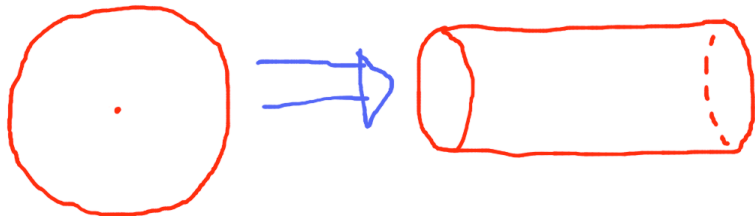
which are familiar formulas.

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Accordingly, on a super Riemann surface, a point can sometimes behave like a divisor, just as on an ordinary Riemann surface and unlike the case of a generic $1|1$ supermanifold.

In fact, in superstring theory, there are two kinds of vertex operator. A Neveu-Schwarz vertex operator is a field $\Phi(z, \theta)$ that is inserted at a point $z, \theta \in \Sigma$ and thus what I have said applies directly to such vertex operators.

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$$D = \frac{\partial}{\partial \theta} + z\theta \frac{\partial}{\partial z}$$

so $D^2 = z\partial/\partial z$ and vanishes on the divisor $z = 0$.

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Thus Ramond vertex operators are directly associated to divisors – though this is not usually stated – while NS vertex operators can be associated to divisors via the map from points to divisors on a super Riemann surface.

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Instead of talking more about what doesn't work in general, let us discuss what does work.

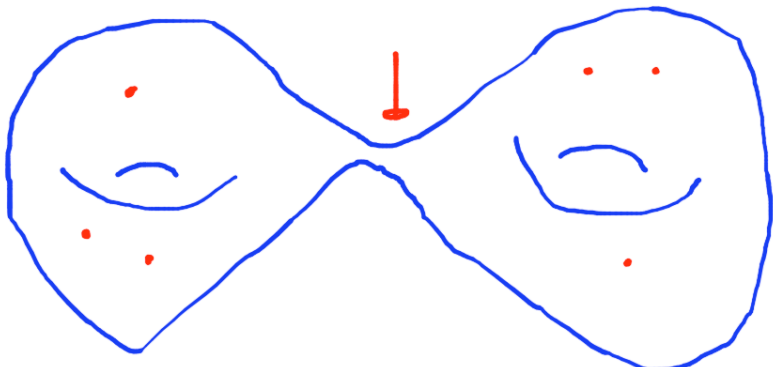
Instead of talking more about what doesn't work in general, let us discuss what does work. First of all, there is a natural measure on supermoduli space, which I will call $\widetilde{\mathcal{M}}_{g,n}$. This was constructed in the 1980's via conformal field theory (in varied approaches by Moore, Nelson, and Polchinski; E. & H. Verlinde; and D'Hoker and Phong) by adapting the analogous formulas for the bosonic string.

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Supermoduli space is not compact – or if we take its Deligne-Mumford compactification, then the measure we want to integrate has singularities – because the infrared singularities that are crucial to the physical interpretation of string theory arise from the behavior of the measure at infinity



Although supermoduli space is very subtle, if one asks precisely the questions whose answers one needs, those particular questions tend to have simple answers.

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For the super case, we have to decide whether the string state propagating through the double point is in the NS or Ramond sector. But either way, there is a formula almost as simple as the bosonic one.

For instance, in the NS sector, the gluing of local superconformal parameters x, θ to y, ψ is by

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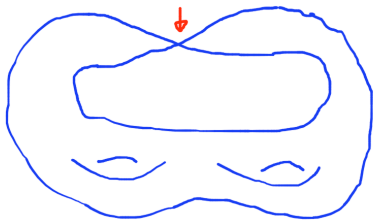
Importantly, the gluing depends in both cases on only one bosonic parameter ε and no fermionic ones, just as for bosonic Riemann surfaces. The locus $\varepsilon = 0$ in $\widetilde{\mathcal{M}}_{g,n}$ is a product of spaces of the same type $\widetilde{\mathcal{M}}_{g_1, n_1+1} \times \widetilde{\mathcal{M}}_{g_2, n_2+1}$ with $g_1 + g_2 = g$, $n_1 + n_2 = n$, just as for bosonic Riemann surfaces.

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For another example, although a sum over spin structures (independent of the integration over supermoduli) does not make sense in general, a very small piece of it makes sense when a node develops



and this leads to the GSO projection on the physical states that propagate through the node.

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Actually, the GSO projection is almost a consequence of the gluing formulas that I presented a moment ago. You may have noticed that the classical gluing parameter q becomes ε^2 for super Riemann surfaces. For given q , there are two choices of ε , and the sum over these two choices gives the GSO projection.

Let me mention at least a few of the important structures in bosonic string theory that one has to generalize to super Riemann surfaces in order to have a good foundation for superstring perturbation theory. If \mathcal{X} is an observable – for example a product $\mathcal{X} = \mathcal{V}_1 \mathcal{V}_2 \dots \mathcal{V}_n$ of vertex operators – then there is an associated differential form $F_{\mathcal{X}}$ on $\mathcal{M}_{g,n}$.

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The association $\mathcal{X} \rightarrow F_{\mathcal{X}}$ is also compatible with BRST symmetry in the sense that (with Q the BRST operator)

$$F_Q \mathcal{X} + dF_{\mathcal{X}} = 0.$$

This is the basis of the proof of gauge-invariance. Usually one considers a product of physical state vertex operators $\mathcal{V}_1, \dots, \mathcal{V}_n$, all of them annihilated by Q . One makes a gauge transformation $\mathcal{V}_1 \rightarrow \mathcal{V}_1 + \{Q, \mathcal{W}_1\}$, for some \mathcal{W}_1 . This shifts the scattering amplitude by

$$\int_{\mathcal{M}_{g,n}} F_{\mathcal{V}_1 \mathcal{V}_2 \dots \mathcal{V}_n} \rightarrow \int_{\mathcal{M}_{g,n}} (F_{\mathcal{V}_1 \mathcal{V}_2 \dots \mathcal{V}_n} + F_{Q\mathcal{W}_1 \mathcal{V}_2 \dots \mathcal{V}_n}).$$

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The extra term that should vanish to establish gauge invariance is thus

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where I used the compatibility of $\mathcal{X} \rightarrow F_{\mathcal{X}}$ with BRST symmetry. Finally we have Stokes's theorem

$$\int_{\mathcal{M}_{g,n}} dF_{\mathcal{W}_1 \mathcal{V}_2 \dots \mathcal{V}_n} = - \int_{\partial \mathcal{M}_{g,n}} F_{\mathcal{W}_1 \mathcal{V}_2 \dots \mathcal{V}_n}.$$

Thus gauge-invariance finally depends only on the behavior of the form $F_{\mathcal{W}_1 \mathcal{V}_2 \dots \mathcal{V}_n}$ in the infrared region, that is at infinity in $\mathcal{M}_{g,n}$.

So this is the package that one needs to carry over to super Riemann surfaces in order to have a proper foundation for superstring perturbation theory. One needs an association $\mathcal{X} \rightarrow F_{\mathcal{X}}$ of observables to forms on moduli space, which maps ghost number to degree and maps the BRST operator Q to the exterior derivative d . And one needs Stokes's theorem so that one can integrate by parts.

So this is the package that one needs to carry over to super Riemann surfaces in order to have a proper foundation for superstring perturbation theory. One needs an association $\mathcal{X} \rightarrow F_{\mathcal{X}}$ of observables to forms on moduli space, which maps ghost number to degree and maps the BRST operator Q to the exterior derivative d . And one needs Stokes's theorem so that one can integrate by parts. It turns out that when one works out what these things mean in supergeometry, one meets another structure, picture number, which was part of the framework of Friedan, Martinec, and Shenker (and then was interpreted more geometrically by E. and H. Verlinde and then by Belopolsky).

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Once one has this package (along with the foundational results of the 1980's such as the construction of the fermion vertex operator) one has a good framework to understand spacetime supersymmetry, which is really a special case of gauge-invariance. And spacetime supersymmetry – along with generalities of the Deligne-Mumford compactification – gives a good tool to clarify the unresolved details about the infrared behavior of superstring perturbation theory.

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It is not really possible to explain everything in one lecture, so perhaps I will focus on explaining the notion of forms on a supermanifold, picture number, and Stokes's theorem. Suppose that M is a bosonic manifold with local coordinates x^1, \dots, x^n . We let ΠTM be the cotangent bundle with “parity” (or statistics) reversed on the fibers, so local coordinates on ΠTM are x^1, \dots, x^n and corresponding fermionic variables that we will call dx^1, \dots, dx^n .

A function on ΠTM can be expanded in powers of the dx 's

$$f(x^1, \dots, x^n | dx^1 \dots dx^n) = f_0(x^1 \dots x^n) + \sum_i dx^i f_{1,i}(x^1, \dots, x^n) \\ + \sum_{i < j} dx^i dx^j f_{2,ij}(x^1, \dots, x^n) + \dots$$

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If $f(x|dx)$ is homogeneous of degree k in the dx 's, it is usually called a k -form.

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So for example if

$$f(x, dx) = \cdots + dx^1 dx^2 \dots dx^n f_{(n)}(x^1, \dots, x^n),$$

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$$\int_M f(x, dx) = \int_{\Pi TM} \mathcal{D}(x, dx) f(x, dx).$$

On ΠTM , there is a vector field of degree 1

$$d = \sum_{i=1}^n dx^i \frac{\partial}{\partial x^i}$$

and Stokes's theorem says that

$$\int_M dg = \int_{\partial M} g.$$

Up to a certain point, we can imitate this for supermanifolds. To see the essential point that is new, consider a purely fermionic supermanifold $M = \mathbb{R}^{0|n}$ with odd coordinates $\theta^1 \dots \theta^m$.

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Up to a certain point, we can imitate this for supermanifolds. To see the essential point that is new, consider a purely fermionic supermanifold $M = \mathbb{R}^{0|n}$ with odd coordinates $\theta^1 \dots \theta^m$. So now the fiber coordinates of ΠTM are even variables that we call $d\theta^1, \dots, d\theta^m$. It is still true that there is a natural Berezin measure $\mathcal{D}(\theta, d\theta)$ since the Berezinian of the tangent bundle of ΠTM coming from any reparametrization of M is 1, due to cancellation between θ and $d\theta$. So one might expect to integrate a function on ΠTM as before.

However, there is a key difference from the bosonic case: there are different classes of functions on ΠTM . For an ordinary manifold M , the fiber coordinates dx^i were fermionic variables, so any function on ΠTM was a polynomial along the fibers. We did not have to choose a class of functions.

However, there is a key difference from the bosonic case: there are different classes of functions on ΠTM . For an ordinary manifold M , the fiber coordinates dx^i were fermionic variables, so any function on ΠTM was a polynomial along the fibers. We did not have to choose a class of functions. For $M = \mathbb{R}^{0,n}$, the fiber coordinates are even and there definitely are different classes of functions on ΠTM .

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For example, we can consider functions on ΠTM that are polynomials in the $d\theta$'s. These functions are called differential forms. They are closed under many natural operations, but they cannot be integrated, because obviously, with $d\theta$ being an ordinary even variable, an integral

$$\int \mathcal{D}(\theta, d\theta) f(\theta, d\theta)$$

diverges if $f(\theta, d\theta)$ is a polynomial in $d\theta$.

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By contrast, we can integrate forms that have distributional support at $d\theta = 0$. Let us consider the case of just one θ and $d\theta$. By distributional support I mean a form that is proportional to $\delta(d\theta)$ or a derivative of this of finite order:

$$g(\theta, d\theta) = g_{-1}(\theta)\delta(d\theta) + g_{-2}(\theta)\delta'(d\theta) + g_{-3}(\theta)\delta^{(2)}(d\theta) + \dots$$

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We call such a $g(\theta, d\theta)$ an integral form (delta function support along $M \subset \Pi TM$). The subscripts I have chosen label the “degree” of an integral form, where by degree I mean the scaling under $d\theta \rightarrow \lambda d\theta$. Note that for integral forms there is a form of top degree (namely degree -1 in the case of a single odd variable, since the function $\delta(d\theta)$ has degree -1), but no form of bottom degree ($\delta^{(n)}(d\theta)$ has degree $-1 - n$ for any n).

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Concretely the integral over $d\theta$ picks out the top form g_{-1} and so

$$\int_M g(\theta, d\theta) = \int \mathcal{D}(\theta) g_{-1}(\theta)$$

where the last integral is a Berezin integral.

Another natural operation is the exterior derivative, again derived from a vector field on ΠTM of degree 1, now

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$$d = \sum_{i=1}^n d\theta^i \frac{\partial}{\partial \theta^i}.$$

For the case of a purely fermionic supermanifold $\mathbb{R}^{0|n}$, Stokes's theorem says that for any integral form g ,

$$\int_M dg = 0.$$

For instance, if

$$g(\theta, d\theta) = g_{-1}(\theta)\delta(d\theta) + g_{-2}(\theta)\delta'(d\theta) + g_{-3}(\theta)\delta^{(2)}(d\theta) + \dots,$$

then

$$dg = -\frac{\partial}{\partial\theta}g_{-2}(\theta)\delta(d\theta) + \dots$$

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The general supermanifold version of Stokes's theorem is more or less a combination of this with the ordinary bosonic Stokes's theorem.

I have described differential forms (polynomial dependence on all $d\theta$'s) and integral forms (delta function dependence on all $d\theta$'s). More generally, one considers other classes of forms that are closed under various natural operations (such as scaling of $d\theta$, multiplication by $d\theta$, and differentiation $\partial/\partial(d\theta)$).

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Obviously we can't go into a full explanation today, but it turns out that superstring perturbation theory is nicely compatible with this formalism and this gives a natural framework to understand gauge-invariance, spacetime supersymmetry, and tadpole cancellation.

The traditional alternative is to look for a map from $\widetilde{\mathcal{M}}_{g,n}$ to $\mathcal{M}_{g,n}$ (a map that is the identity when restricted to $\mathcal{M}_{g,n} \subset \widetilde{\mathcal{M}}_{g,n}$) and try to formulate everything in terms of measures on $\mathcal{M}_{g,n}$.

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