# Gauge-string duality and the structure of large rank Chern-Simons invariants.

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# Some Physical Perspective: 't Hooft, Witten, Gopakumar-Vafa

- $Z \approx \int e^{\frac{1}{x}QA^2 + VA^3} DA \approx \int \sum \text{const} A^{3k} e^{\frac{1}{x}QA^2} DA$ .
- $\approx \sum x^{-\chi(\Gamma)} \text{Weight}(\Gamma)$ ,  $\Gamma$  labeled trivalent graph.
- $F := ln(Z) \approx \sum x^{2g-2+h} N^h F_{g,h}$  This looks like strings!
- The fat graphs are actually instantons at infinity on  $T^*S^3$ .
- Geometric transition does not change the partition function.
   The boundaries of the surfaces close and they become holomorphic.

$$F = \sum_{g=0}^{\infty} x^{2g-2} F_g(t), \quad \text{with} \quad F_g(t) = \sum_{h=0}^{\infty} t^h F_{g,h}$$



## A geometric transition

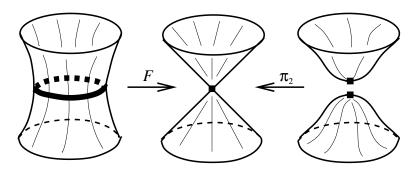


Figure: The 'conifold' transition  $T^*S^3 \leadsto X_{S^3} := S^2 \times \mathbb{R}^4$ 

# A mathematical construction – strict modular categories Reshetikhin and Turaev

A tensor product

A unit

A braiding

A twist

A duality pairing

A copairing

A finite collection of simple objects

 $\otimes: \mathcal{V} \times \mathcal{V} \Rightarrow \mathcal{V}$ 

 $1 \in \mathsf{Ob}(\mathcal{V})$ 

 $\times_{U,V}:U\otimes V\to V\otimes U$ 

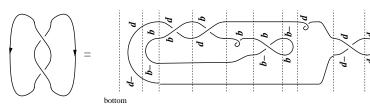
 $\theta_V:V\to V$ 

 $\cap_V:V^*\otimes V\to \mathbb{1}$ 

 $\bigcup_{V}: \mathbb{1} \to V \otimes V^*$ 

 $\{V_{\lambda}\}_{\lambda\in I}$ 

Must satisfy 17 axioms.



## The $\mathfrak{sl}_N$ rank N level k invariant of $S^3$

Using the SMC of reduced tilting modules  $\overline{Tilt}_{\epsilon}(\mathfrak{sl}_N)$  with  $\epsilon=e^{\pi i/(k+N)}$  and  $q=e^{2\pi/(k+N)}$  gives:

$$Z(S^3) = \operatorname{Factor} G_q^{(2)}(z+1)$$
, with  $z = N$  and  $q = e^{2\pi i/(k+N)}$ .

Here  $G_q^{(2)}(z+1)$  is the quantum Barnes function Perintion Furthermore we have:

$$\begin{split} Z'(S^3) &= \mathsf{Different}\;\mathsf{Factor}\cdot G_q^{(2)}(z+1) \\ &= 1 + \mathsf{M}(-q)^{-\chi(S^2)} \sum_{d=1}^\infty \sum_{n=0}^\infty D_{n,d} q^n a^d \\ &\sim 1 + \sum_{d=1}^\infty \sum_{g=0}^\infty N_{g,d}^\bullet u^{2g-2} a^d, \quad \mathsf{where}\; a = q^z \quad \mathsf{and} \; -q = e^{iu} \end{split}$$

# Free energy from CS side

$$\begin{split} F_{S^3}^{\text{CS}} &= \frac{N(N-1)}{2} \ln x + \frac{1-N}{2} \ln(k+N) + \frac{N^2}{2} \ln N \\ &- \frac{1}{2} \ln N - 3N^2/4 - \frac{1}{12} \ln N - \zeta'(0)N + \zeta'(-1) \\ &- \sum_{\substack{h \text{ even} \\ h \geq 4}} \frac{2}{h(h-1)(h-2)} (2\pi)^{2-h} \zeta(h-2)(Nu)^h u^{-2} \\ &+ \sum_{\substack{h \text{ even} \\ h \geq 2}} \frac{1}{6h} (2\pi)^{-h} \zeta(h)(Nu)^h \\ &+ \sum_{\substack{g=2 \\ h \text{ even} \\ h \geq 2}} \sum_{\substack{h \text{ even} \\ h \geq 2}} \binom{2g+h-3}{h} \frac{B_{2g}}{g(2g-2)} (2\pi)^{2-2g-h} \zeta(2g-2+h)(Nu)^h u^{2g-2} \\ &+ \sum_{\substack{n=2 \\ 2g(2g-2)}} \frac{B_{2g}}{2g(2g-2)} N^{2-2g}. \end{split}$$

## Free energy from GW side

$$\begin{split} \widehat{F}^{\text{GW}}(X_{S^3}) &= t/24 - \frac{1}{12} \ln t + \zeta(3) u^{-2} - \zeta(2) t u^{-2} + 3 t^2 u^{-2} / 4 \\ &+ t^3 u^{-2} / 12 - \frac{t^2 u^{-2}}{2} \ln t \\ &- \sum_{\substack{h \text{ even} \\ h \geq 4}} \frac{2}{h(h-1)(h-2)} (2\pi)^{2-h} \zeta(h-2) (it)^h u^{-2} \\ &+ \sum_{\substack{h \text{ even} \\ h \geq 2}} \frac{1}{6h} (2\pi)^{-h} \zeta(h) (it)^h \\ &+ \sum_{\substack{g=2 \\ h \text{ even} \\ h \geq 2}} \sum_{\substack{h \text{ even} \\ h \geq 2}} \binom{2g+h-3}{h} \frac{B_{2g}}{g(2g-2)} (2\pi)^{2-2g-h} \zeta(2g+h-2) (it)^h u^{2g-2} \\ &+ \sum_{\substack{n=2 \\ g \geq 2}} \frac{B_{2g}}{2g(2g-2)} (it)^{2-2g} u^{2g-2}. \end{split}$$

## **BPS** states

$$F' := \ln(Z')$$

$$= \sum_{g \in \mathbb{Z}} \sum_{k=1}^{\infty} \sum_{d=1}^{\infty} n_{g,d} k^{-1} (-1)^{g-1} \left( (-q)^k - 2 + (-q)^{-k} \right)^{g-1} a^{dk}.$$

 $n_{g,d} = 0$  for g < 0 and all but a finite number of (g, d).

## Exchange rates

**BPS states** 
$$n_{0,1} = 1$$
 rest are zero.

**DT = SP** 
$$D_{0,1} = 0$$
,  $D_{1,1} = 1$ ,  $D_{2,1} = -2$ ,  $D_{3,1} = 3$ ,  $\cdots$ ,  $D_{0,2} = D_{1,2} = D_{2,2} = 0$ ,  $D_{3,2} = -2$ ,  $D_{4,2} = 4$ ,  $\cdots$ 

Connected GW 
$$N_{g,d}(X_{S^3}) = d^{2g-3}(-1)^{g-1}(2g-1)\frac{B_{2g}}{(2g)!}$$
.

Non-contracted 
$$N_{0,1}^{\bullet}=1,\ N_{1,1}^{\bullet}=1/12,\ N_{2,1}^{\bullet}=1/240,\ \cdots$$
 GW  $N_{-1,2}^{\bullet}=1/2,\ N_{0,2}^{\bullet}=5/24,\ N_{1,2}^{\bullet}=13/720,\ \cdots$ 



## A guess

It appears that there might exist a 3-manifold invariant taking the form  $Z_M(a,q)$  such that

- It gives the rank N level k Chern-Simons invariant of M for  $a=q^N$ ,  $q=e^{2\pi i/(k+N)}$ .
- It has the structure  $Z_M(a,q) = \sum Z_d(q)a^d$
- The coefficients of Taylor expansion of  $Z_d(q)$  about q=0 are integers.
- The function  $Z_d(-e^{iu})$  has an asymptotic expansion at u=0 along the positive reals.
- The functions  $Z_d(q)$  satisfy some *funky* modularity properties as evidenced by Hikami and others.
- It is determined by a finite number of integer BPS invariants.



# The Taylor and asymptotic coefficients

#### Taylor coef

#### Asymptotic coef

= Donaldson-Thomas inv. = Gromov-Witten inv.

Implicit 
$$f(x, y) = 0$$
 Parametric  $x = x(s)$ ,  $y = y(s)$ 

rank 1 torsion-free sheaves possibly disconnected curves

$$D_{n,\beta} := \int_{[\overline{\mathcal{I}}_n(X,\beta)]^{vir}} 1$$
  $N_{g,\beta}^{ullet} := \int_{[\overline{\mathcal{M}}_g^{ullet}(X,\beta)]^{vir}} 1$ 

## Intuitive description of Donaldson-Thomas

Recall that the Casson invariant is a signed count of the critical points of the classical Chern-Simons functional:

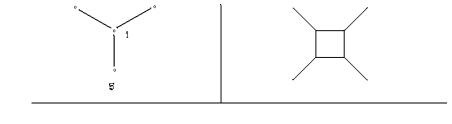
$$\mathsf{CS}(A) := rac{1}{4\pi^2} \int_M \mathsf{tr} \left( rac{1}{2} d_{A_0} a \wedge a + rac{1}{3} a \wedge a \wedge a 
ight) \quad \mathsf{with} \quad a = A - A_0.$$

Intuitively the DT invariants are a signed count of the critical points of the holomorphic Chern-Simons functional:

$$\mathsf{CS}(A) := \frac{1}{4\pi^2} \int_M \mathsf{tr} \left( \frac{1}{2} \overline{\partial}_{A_0} a \wedge a + \frac{1}{3} a \wedge a \wedge a \right) \wedge \Omega \quad \mathsf{with} \quad a = A - A_0.$$



# Witten-Reshetikhin-Turaev; invariants vs. Aganagic, Klemm, Mariño, Vafa; Topological Vertex

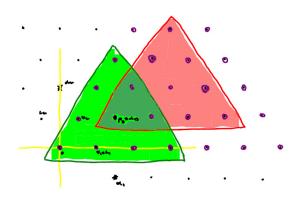


$$Z(\Sigma(2,3,5)) = \Delta^2 D^{-5} \sum_{\vec{\lambda} \in \left(\underline{\mathcal{I}^{N,k}}\right)^4} v_{\vec{\lambda}}^{(1,2,3,5)} d_{\vec{\lambda}}^{(1,-1,-1,-1)} \underline{W_{\lambda_1 \lambda_2}} W_{\lambda_1 \lambda_3} W_{\lambda_1 \lambda_3}$$

$$Z(\mathsf{local}(\mathbb{P}^1)^2) = \sum_{\vec{\lambda} \in \mathsf{\Lambda}^{\mathfrak{sl}_\infty}_+} \mathrm{e}^{-|\vec{\lambda}|t} q^{\Sigma \frac{1}{2}\kappa(\lambda_\rho)} \mathcal{W}_{\lambda_4 \lambda_1} \mathcal{W}_{\lambda_1 \lambda_2} \mathcal{W}_{\lambda_2 \lambda_3} \mathcal{W}_{\lambda_3 \lambda_4}$$



# Simple modules vs all partitions



$$\mathcal{I}^{N=3,k=2}\,, \Lambda_{w}^{N=3,k=2}\subseteq \Lambda_{+}^{\mathfrak{sl}_{\infty}}$$
 
$$\Lambda_{+}^{\mathfrak{sl}_{\infty}}=\{(\lambda(1),\lambda(2),\cdots)|\lambda(1)\geq \lambda(2)\geq \text{ eg }(4,2,0,\cdots)=\boxplus \mathbb{P}\}$$



# Comparing 2-point functions

$$W_{\lambda_1\lambda_2}({\mathsf N},q):=s_{\lambda_1}(q^{
ho^{\mathsf N}_{\mathsf N}})s_{\lambda_2}(q^{\lambda^{\mathsf N}+
ho^{\mathsf N}_{\mathsf N}})\,.$$

$$\mathcal{W}_{\lambda_1\lambda_2}(q) := \mathsf{s}_{\lambda_1}(q^
ho_\infty) \mathsf{s}_{\lambda_2}(q^{\lambda+
ho_\infty})\,.$$

Here 
$$|\lambda| = \Sigma \lambda(p)$$
, and  $s_{\lambda}(x_1, x_2, \cdots) := \det(x_j^{\lambda(i)-i})/\det(x_j^{-i})$ .

$$\begin{array}{ll} q = e^{2\pi i/(k+N)} & q \text{ is a formal variable} \\ \rho_N = (N-1,N-2,\cdots,2,1,0,0,\cdots) & \rho_\infty = (-1/2,-3/2,-5/2,\cdots) \\ \lambda^N = (\lambda(1)-|\lambda|/N,\lambda(2)-|\lambda|/N,\cdots) & \lambda = (\lambda(1),\lambda(2),\cdots) \\ \rho_N^N = (\frac{1}{2}(N-1),\frac{1}{2}(N-3),\cdots,\frac{1}{2}(1-N),0,0,\cdots) \end{array}$$

## A first unification of WRT invariants at all ranks - Koshkin

### Proposition (Le)

There is an extension of  $d_{\vec{\lambda}-\rho}J(L_{\vec{\lambda}-\rho})$  to all weights that is componentwise invariant under the action of the affine Weyl group. Furthermore, this function vanishes on affine domain walls.

#### Proposition

If  $\Gamma \subseteq \Lambda$  are lattices,  $f : \Lambda \to \mathbb{C}$  is  $\Gamma$ -periodic, and  $\varphi : \Lambda \otimes \mathbb{R} \to \mathbb{C}$  is continuous with sufficiently fast decay, then

$$\sum_{[\lambda] \in \Lambda/\Gamma} f(\lambda) = \frac{\operatorname{vol}(\Lambda/\Gamma)}{\int_{\Lambda \otimes \mathbb{R}} \varphi} \lim_{t \to 0} t^{\operatorname{rank}(\Lambda)} \sum_{\lambda \in \Lambda} f(\lambda) \varphi(t\lambda)$$

## Unification of levels

$$\begin{split} &Z(M_{L})\\ &:= \Delta^{\sigma}\mathcal{D}^{-c-1} \sum_{\vec{\lambda} \in (\Lambda_{w}^{N,k})^{c}} d_{\vec{\lambda}-\rho} J(L_{\vec{\lambda}-\rho}) \\ &= (N!)^{-c} \Delta^{\sigma}\mathcal{D}^{-c-1} \sum_{\substack{[\vec{\lambda}] \in (\Lambda_{w}^{\mathfrak{sl}N}/(N+k)\Lambda_{r}^{\mathfrak{sl}N})^{c} \\ }} d_{\vec{\lambda}-\rho} J(L_{\vec{\lambda}-\rho}) \\ &= (N!)^{-c} \Delta^{\sigma}\mathcal{D}^{-c-1} \lim_{t \to 0} \left(t(N+k)\right)^{(N-1)c} \sum_{\vec{\lambda} \in (\Lambda_{w}^{\mathfrak{sl}N})^{c}} d_{\vec{\lambda}-\rho} J(L_{\vec{\lambda}-\rho}) e^{-t\sum |\lambda_{\rho}|} \\ &= \Delta^{\sigma}\mathcal{D}^{-c-1} \lim_{t \to 0} \left(t(N+k)\right)^{(N-1)c} \sum_{\vec{\lambda} \in (\rho+\Lambda_{+}^{\mathfrak{sl}N})^{c}} d_{\vec{\lambda}-\rho} J(L_{\vec{\lambda}-\rho}) e^{-t\sum |\lambda_{\rho}|} \\ &= \Delta^{\sigma}\mathcal{D}^{-c-1} \lim_{t \to 0} \left(t(N+k)\right)^{(N-1)c} \sum_{\vec{\lambda} \in (\Lambda_{+}^{\mathfrak{sl}N})^{c}} d_{\vec{\lambda}} J(L_{\vec{\lambda}}) e^{-t\sum |\lambda_{\rho}|} \end{split}$$

## Unification of ranks

#### Definition

If  $\lambda \in \Lambda^{\mathfrak{sl}_N}_+$  is a partition then the  $\mathfrak{sl}_{N-1}$  reduction of  $\lambda$  is the partition  $\bar{\lambda}$  obtained by deleting all columns of length N.

If 
$$\lambda = \blacksquare \square$$
, then  $\bar{\lambda} = \blacksquare \square$ .

#### Proposition (Lukac)

The SU(N) colored Jones polynomial vanishes if any of the labels have length greater than N. Furthermore,

$$d_{\lambda_1,\cdots,\bar{\lambda}_k,\cdots,\lambda_c}J(L_{\lambda_1,\cdots,\bar{\lambda}_k,\cdots,\lambda_c})=d_{\lambda_1,\cdots,\lambda_k,\cdots,\lambda_c}J(L_{\lambda_1,\cdots,\lambda_k,\cdots,\lambda_c}).$$



## Current Unification

$$\begin{split} &Z(M_L)\\ &= \Delta^{\sigma}\mathcal{D}^{-c-1}\lim_{t\to 0}(t(N+k))^{(N-1)c}\sum_{(\vec{\lambda}\in\Lambda_+^{\mathfrak{sl}_N})^c}d_{\vec{\lambda}}J(L_{\vec{\lambda}})e^{-t\sum|\lambda_\rho|}\\ &= \Delta^{\sigma}\mathcal{D}^{-c-1}\lim_{t\to 0}(t(N+k))^{(N-1)c}\\ &(1-e^{-tN})\sum_{m=0}^{\infty}e^{-tmN}\sum_{\substack{\vec{\lambda}\in(\Lambda_+^{\mathfrak{sl}_N})^{c-1}\\ \vec{\lambda}_c\in\Lambda_+^{\mathfrak{sl}_N}}}d_{\vec{\lambda},\vec{\lambda}_c}J(L_{\vec{\lambda},\vec{\lambda}_c})e^{-t(\sum|\lambda_\rho|+|\vec{\lambda}_c|)}\\ &= \Delta^{\sigma}\mathcal{D}^{-c-1}\lim_{t\to 0}(t(N+k))^{(N-1)c}(1-e^{-tN})\\ &\sum_{\substack{\vec{\lambda}\in(\Lambda_+^{\mathfrak{sl}_N})^{c-1}\\ \lambda_c\in\Lambda_+^{\mathfrak{sl}_N+1}}}d_{\vec{\lambda},\lambda_c}J(L_{\vec{\lambda},\lambda_c})e^{-t(\sum|\lambda_\rho|+|\lambda_c|)} \end{split}$$

## Yes, but · · ·

$$Z(M_L) = \Delta^{\sigma} \mathcal{D}^{-c-1} \lim_{t \to 0} t^{Nc} N^c \left( (N+k) \right)^{(N-1)c} \sum_{\vec{\lambda} \in (\Lambda_+^{\mathfrak{sl}_{\infty}})^c} d_{\vec{\lambda}} J(L_{\vec{\lambda}}) e^{-t \sum |\lambda_p|}$$

- This does unify all ranks and levels.
- It does not decompose into terms with
- a sensible Taylor expansion about q = 0 and
- a sensible asymptotic expansion about q = -1.

Perhaps it exists in some modification of the Habiro ring???

$$\sum_{k=0}^{\infty} 3^k = \frac{1}{1-3}.$$



## Acknowledgements

This material for this talk came from the following references and listening to Sergiy Koshkin and Marcos Mariño.

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A modular integral satisfies  $au^{-w}f(-1/ au)=f( au)+p( au)$ 

→ return

#### Definition

The MacMahon function is given by:

$$M(q) := \prod_{n=1}^{\infty} (1 - q^n)^{-n}$$

▶ return

Factor = 
$$(-i)^{N(N-1)/2} N^{-1/2} (k+N)^{(1-N)/2} q^{-2N(N^2-1)/24} (1-q)^{N(N-1)/2}$$

Different Factor 
$$=(q;q)_{\infty}^{-N}M(q)^{-1}(1-q)^{N(N-1)/2}$$
 where  $(q;q)_{\infty}=\prod_{n=1}^{\infty}(1-q^n)$ . • return

## The quantum Barnes function

#### **Definition**

The quantum Barnes function hihierarchy is the unique collection of meromorphic functions on the disk satisfying:

$$G_q^{(0)}(z) = (z)_q = \frac{1-q^z}{1-q}$$

$$G_q^{(d)}(1) = 1$$

$$G_q^{(d)}(z+1) = G_q^{(d-1)}(z)G_q^{(d)}(z)$$

→ return



$$\begin{split} \epsilon &= \exp(\pi i/(k+N))\,, \quad q = \epsilon^2\,, \quad v_\lambda = \epsilon^{(\lambda,\lambda+2\rho)} \\ d_\lambda &= \dim_q \mathcal{W}^\epsilon_\lambda = \prod_{\alpha \in \Delta^+} \frac{\epsilon^{(\lambda+\rho,\alpha)} - \epsilon^{-(\lambda+\rho,\alpha)}}{\epsilon^{(\rho,\alpha)} - \epsilon^{-(\rho,\alpha)}} \\ d^a_{\vec{\lambda}} &:= \prod_{p=1}^c d^{a_p}_{\lambda_p}\,, \quad v^a_{\vec{\lambda}} := \prod_{p=1}^c v^{a_p}_{\lambda_p} \\ \mathcal{D} &= i^{w_0} |\Lambda_w/(k+c_\mathfrak{g})\Lambda_r|^{1/2} \prod_{\alpha \in \Delta^+} \left(\epsilon^{(\rho,\alpha)} - \epsilon^{-(\rho,\alpha)}\right)^{-1}\,, \\ &= N^{1/2} (k+N)^{(N-1)/2} \prod_{j=1}^{N-1} \left(2\sin\left(\frac{\pi j}{k+N}\right)\right)^{j-N}\,. \\ \Delta &= \mathcal{D}^{-1} \sum_{\lambda \in \mathcal{I}^N, k} v^{-1}_\lambda d^2_\lambda\,. \end{split}$$

### Colors at level k and rank N

$$\mathcal{I}^{\textit{N},\textit{k}} := \left\{\lambda \in \Lambda_{\textit{w}}^{\mathfrak{sl}_{\textit{N}}} | 0 < \left(\lambda + \rho,\alpha\right) < \textit{k} + \textit{N} \;, \; \text{for all } \alpha \in \Delta^+ \right\}.$$

return to vertex

return to 2-point

#### Definition

The Bernoulli numbers  $B_k$  are defined by their generating function:

$$\frac{z}{e^z - 1} = \sum_{k=0}^{\infty} B_k \frac{z^k}{k!} \,.$$

#### Definition

The Riemann zeta function is defined by:

$$\zeta(z) := \frac{1}{\Gamma(z)} \int_0^\infty \frac{u^{z-1}}{e^u - 1} du,$$

where  $\Gamma(z)$  is the usual gamma function of Euler

$$\Gamma(z) := \int_0^\infty e^{-t} t^{z-1} dt.$$



## Stable pairs

#### Definition

A stable pair is a non-zero section  $s: \mathcal{O}_X \to F$  of a pure sheaf F with Hilbert polynomial  $\chi(F \otimes L^{\otimes k}) = k \int_{\beta} c_1(L) + n$ .