

# WRT Invariants and Modular Forms

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Relationship between WRT invariants and modular forms

## SU(2) Witten Invariant (1988) for 3-manifold $M$

$$Z_k(M) = \int e^{2\pi i k \text{CS}(A)} dA$$
$$\text{CS}(A) = \frac{1}{8\pi^2} \int_M \text{Tr} \left( A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right)$$

## Reshetikhin–Turaev (1991)

When the 3-manifold  $M$  is constructed by the rational surgery  $p_j/q_j$  on the  $j$ -th component of link  $L$ , WRT invariant is computed as

$$\tau_N(M) = e^{\frac{\pi i}{4} \frac{N-2}{N} \left( \sum_{j=1}^n \Phi(U^{(p_j, q_j)}) - 3 \operatorname{sgn}(\mathbf{L}) \right)} \sum_{k_1, \dots, k_n=1}^{N-1} J_{k_1, \dots, k_n}(L) \prod_{j=1}^n \left[ \rho(U^{(p_j, q_j)}) \right]_{k_j, 1}$$

$$U^{(p_j, q_j)} = \begin{pmatrix} p_j & r_j \\ q_j & s_j \end{pmatrix}; \quad [\rho(S)]_{a,b} = \sqrt{\frac{2}{N}} \sin\left(\frac{ab}{N} \pi\right); \quad [\rho(T)]_{a,b} = e^{\frac{\pi i}{2N} a^2 - \frac{\pi i}{4}} \delta_{a,b}$$

$$\text{Rademacher } \Phi: \quad \Phi\left(\begin{pmatrix} p & r \\ q & s \end{pmatrix}\right) = \begin{cases} (p+s)q - 12s(p,q) & \text{for } q \neq 0 \\ r/s & \text{for } q = 0 \end{cases}$$

$$\text{Dedekind sum: } s(d, c) = \sum_{k \pmod c} \left(\left(\frac{k}{c}\right)\right) \left(\left(\frac{kd}{c}\right)\right)$$

$$\left(\left(x\right)\right) = \begin{cases} x - \lfloor x \rfloor - \frac{1}{2}, & \text{for } x \in \mathbb{R} \setminus \mathbb{Z}, \\ 0, & \text{for } x \in \mathbb{Z}. \end{cases}$$

## SU(2) Witten Invariant (1988) for 3-manifold $M$

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## Reshetikhin–Turaev Invariant (1991)

$$Z_k(M) = \frac{\tau_{k+2}(M)}{\tau_{k+2}(S^2 \times S^1)}$$
$$\tau_N(S^3) = 1 \quad \tau_N(S^2 \times S^1) = \sqrt{\frac{N}{2}} \frac{1}{\sin(\pi/N)}$$

# Asymptotics of WRT in $k \rightarrow \infty$

- saddle-point method at flat connection

$$Z_k(M) \sim \frac{1}{2} e^{-\frac{3}{4}\pi i} \sum_{\alpha} \sqrt{T(\alpha)} e^{-\frac{2\pi i}{4} I(\alpha)} e^{2\pi i(k+2) CS(A^{(\alpha)})}$$

$T(\alpha)$ : torsion;  $I(\alpha)$ : spectral flow

- Loop expansion based on Feynman diagram (Axelrod–Singer, BarNatan, ...)
- asymptotic expansion based on integral representation (Rozansky, Lawrence)
- interests from “Volume Conjecture” (Kashaev, Murakami–Murakami)
- connection with **modular form** (Lawrence–Zagier 1999)

$M = \Sigma(2, 3, 5)$ : Poincaré homology sphere

$\tau_N(M) =$  Limiting values  $\tau \searrow 1/N$  of **Eichler integral**  $\tilde{\Phi}(\tau)$  of  
weight-3/2 vector-valued modular form  $\Phi(\tau)$   
→ asymptotic expansion from nearly modularity

# Eichler Integral of Integral Weight Modular Form

Let  $F(\tau) = \sum_{n=1}^{\infty} a_n q^n$  be modular form with weight  $k \in \mathbb{Z}_{\geq 2}$   $(q = e^{2\pi i \tau}; \tau \in \mathbb{H})$

$$F(\gamma(\tau)) = (c\tau + d)^k \cdot F(\tau); \quad \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2; \mathbb{Z})$$

Then the Eichler integral is defined by

$$\tilde{F}(\tau) = \sum_{n=1}^{\infty} \frac{a_n}{n^{k-1}} q^n; \quad \left( \frac{1}{2\pi i} \frac{d}{d\tau} \right)^{k-1} \tilde{F}(\tau) = F(\tau)$$

The Eichler integral has nearly modularity

$$(c\tau + d)^{k-2} \tilde{F}(\gamma(\tau)) - \tilde{F}(\tau) = G_{\gamma}(\tau)$$

$$G_{\gamma}(z) = \frac{(2\pi i)^{k-1}}{(k-2)!} \int_{\gamma^{-1}(\infty)}^{\infty} F(\tau)(z - \tau)^{k-2} d\tau; \quad \text{period polynomial}$$

# Eichler Integral of Half-Integral Weight Modular Form

With  $P \in \mathbb{Z}$ , and odd periodic function  $\psi_{2P}^{(a)}(n)$

$$\psi_{2P}^{(a)}(n) = \begin{cases} \pm 1 & \text{for } n \equiv \pm a \pmod{2P} \\ 0 & \text{otherwise} \end{cases}$$

we have the vector-valued modular form with weight  $3/2$ ;

$$\Psi_P^{(a)}(\tau) = \frac{1}{2} \sum_{n \in \mathbb{Z}} n \psi_{2P}^{(a)}(n) q^{\frac{n^2}{4P}}$$

$$\Psi_P^{(a)}(\tau) = \left(\frac{i}{\tau}\right)^{3/2} \sum_{b=1}^{P-1} \sqrt{\frac{2}{P}} \sin\left(\frac{ab}{P}\pi\right) \Psi_P^{(b)}(-1/\tau); \quad \Psi_P^{(a)}(\tau+1) = e^{\frac{a^2}{2P}\pi i} \Psi_P^{(a)}(\tau)$$

affine  $SU(2)$  character

$$\frac{\Psi_P^{(a)}(\tau)}{[\eta(\tau)]^3} = \frac{\vartheta_{P,a} - \vartheta_{P,-a}}{\vartheta_{2,1} - \vartheta_{2,-1}}(0; \tau)$$

$$\vartheta_{P,a}(z; \tau) = \sum_{n \equiv a \pmod{2P}} q^{\frac{n^2}{4P}} e^{2\pi i n z}$$



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The Eichler integral:

$$\tilde{\Psi}_P^{(a)}(\tau) = \sum_{n=0}^{\infty} \psi_{2P}^{(a)}(n) q^{\frac{n^2}{4P}}$$

# Eichler Integral of Half-Integral Weight Modular Form

The Eichler integral:

$$\tilde{\Psi}_\rho^{(a)}(\tau) = \sum_{n=0}^{\infty} \psi_{2\rho}^{(a)}(n) q^{\frac{n^2}{4\rho}}$$

$$\frac{te^{xt}}{e^t - 1} = \sum_{k=0}^{\infty} \frac{B_k(x)}{k!} t^k$$

Limiting values in  $\tau \searrow M/N \in \mathbb{Q}$ ;

$$\tilde{\Psi}_\rho^{(a)}(1/N) = - \sum_{k=0}^{2PN} \psi_{2\rho}^{(a)}(k) e^{\frac{k^2}{2PN}\pi i} B_1\left(\frac{k}{2PN}\right);$$

$$\tilde{\Psi}_\rho^{(a)}(N) = \left(1 - \frac{a}{\rho}\right) e^{\frac{a^2}{2\rho}\pi i N}$$

# Eichler Integral of Half-Integral Weight Modular Form

An infinite sum in rhs comes from the period function

$$\int_0^{i\infty} \frac{\Psi_P^{(a)}(z)}{\sqrt{z-1/N}} dz$$

Limiting values in  $\tau \searrow M/N \in \mathbb{Q}$ ;

$$\tilde{\Psi}_P^{(a)}(1/N) = - \sum_{k=0}^{2PN} \psi_{2P}^{(a)}(k) e^{\frac{k^2}{2PN} \pi i} B_1 \left( \frac{k}{2PN} \right);$$

$$\tilde{\Psi}_P^{(a)}(N) = \left(1 - \frac{a}{P}\right) e^{\frac{a^2}{2P} \pi i N}$$

$$\tilde{\Psi}_P^{(a)}(1/N) + \sqrt{\frac{N}{i}} \sum_{b=1}^{P-1} \sqrt{\frac{2}{P}} \sin\left(\frac{ab}{P} \pi\right) \tilde{\Psi}_P^{(b)}(-N) \approx \sum_{k=0}^{\infty} \frac{L(-2k, \psi_{2P}^{(a)})}{k!} \left(\frac{\pi i}{2PN}\right)^k$$

$$L(-k, \psi_{2P}^{(a)}) = -\frac{(2P)^k}{k+1} \sum_{n=1}^{2P} \psi_{2P}^{(a)}(n) B_{k+1}\left(\frac{n}{2P}\right); \quad \frac{\sinh((P-a)z)}{\sinh(Pz)} = \sum_{k=0}^{\infty} \frac{L(-2k, \psi_{2P}^{(a)})}{(2k)!} z^{2k}$$

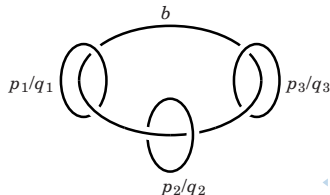
# WRT Invariant for Seifert Manifolds

Let  $M$  be  $M(b; p_1/q_1, p_2/q_2, p_3/q_3)$ . Then  $SU(2)$  WRT invariant is given by

$$e^{\frac{2\pi i}{N} \left( \frac{\phi_M}{4} - \frac{1}{2} \right)} \left( e^{2\pi i/N} - 1 \right) \cdot \tau_N(M) = \frac{e^{\pi i/4}}{\sqrt{2Np_1p_2p_3}} \sum_{k_0=1}^{N-1} \sum_{\text{mod } p_j} \frac{e^{-b \frac{\pi i}{2N} k_0^2}}{e^{\frac{\pi i}{N} k_0} - e^{-\frac{\pi i}{N} k_0}} \\ \times \prod_{j=1}^3 e^{-\frac{\pi i}{2N} \frac{q_j}{p_j} (k_0 + 2Nn_j)^2} \left( e^{\frac{\pi i}{Np_j} (k_0 + 2Nn_j)} - e^{-\frac{\pi i}{Np_j} (k_0 + 2Nn_j)} \right)$$

where

$$\phi_M = 12 \sum_{j=1}^3 s(q_j, p_j) + E_M - 3 \operatorname{sgn}(E_M); \quad E_M = -b - \sum_{j=1}^3 \frac{q_j}{p_j}$$



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Fact: these WRT invariants  $\tau_N(M)$  can be generally rewritten in terms of limiting values of the Eichler integrals  $\tilde{\Psi}_\rho^{(a)}(1/N)$

# Example: Poincaré Homology Sphere

Let  $M$  be the Poincaré homology sphere  $\Sigma(2, 3, 5)$ . Lawrence–Zagier proved

$$e^{\frac{121}{60N}\pi i} \left( e^{\frac{2\pi i}{N}} - 1 \right) \cdot \tau_N(M) = e^{\frac{\pi i}{60N}} - \frac{1}{2} \left( \tilde{\Psi}_{30}^{(1)}(1/N) + \tilde{\Psi}_{30}^{(11)}(1/N) + \tilde{\Psi}_{30}^{(19)}(1/N) + \tilde{\Psi}_{30}^{(29)}(1/N) \right)$$

(Nearly) modularity proves

$$e^{\frac{121}{60N}\pi i} \left( e^{2\pi i/N} - 1 \right) \cdot \tau_N(M) \simeq \sqrt{\frac{N}{i}} \frac{2}{\sqrt{5}} \left( \underbrace{\sin(\pi/5) e^{-\frac{1}{60}\pi i N} + \sin(2\pi/5) e^{-\frac{49}{60}\pi i N}}_{\text{contributions from flat connections}} \right) \\ + \underbrace{e^{\frac{\pi i}{60N}} + \frac{1}{2} \sum_{k=0}^{\infty} \frac{L\left(-2k, -\psi_{60}^{(1)} - \psi_{60}^{(11)} - \psi_{60}^{(19)} - \psi_{60}^{(29)}\right)}{k!} \left(\frac{\pi i}{60N}\right)^k}_{\text{Ohtsuki Series}}$$

where

$$2 \frac{\cosh(5z)\cosh(9z)}{\cosh(15z)} = - \sum_{k=0}^{\infty} \frac{L\left(-2k, -\psi_{60}^{(1)} - \psi_{60}^{(11)} - \psi_{60}^{(19)} - \psi_{60}^{(29)}\right)}{(2k)!} z^{2k}$$

# Example: Seifert Homology Spheres $\Sigma(p_1, p_2, p_3)$

Let  $M$  be Seifert homology sphere  $\Sigma(p_1, p_2, p_3)$  where  $p_i$  are coprime integers. We have

$$e^{\frac{2\pi i}{N} \left( \frac{\phi_M}{4} - \frac{1}{2} \right)} (e^{\frac{2\pi i}{N}} - 1) \tau_N(M) = -\frac{1}{4} \sum_{\varepsilon_1, \varepsilon_2, \varepsilon_3 = \pm 1} \varepsilon_1 \varepsilon_2 \varepsilon_3 \tilde{\Psi}_P^{(P + P \sum_j \varepsilon_j / p_j)} \left( \frac{1}{N} \right)$$

where  $P = p_1 p_2 p_3$  and

$$\phi_M = 3 - \frac{1}{P} + 12(s(p_2 p_3, p_1) + s(p_1 p_3, p_2) + s(p_1 p_2, p_3))$$

Asymptotics in  $N \rightarrow \infty$ ;

$$\begin{aligned} & e^{\frac{2\pi i}{N} \left( \frac{\phi}{4} - \frac{1}{2} \right)} (e^{\frac{2\pi i}{N}} - 1) \tau_N(M) \\ & \simeq \sqrt{\frac{N}{i}} \frac{1}{4P} \sum_{\ell_1, \ell_2, \ell_3} C_P(\ell_1, \ell_2, \ell_3) e^{-\frac{1}{2} \pi i P N \left( 1 + \sum_j \frac{\ell_j}{p_j} \right)^2} + \frac{1}{2} \sum_{k=0}^{\infty} \frac{L(-2k, \chi)}{k!} \left( \frac{\pi i}{2PN} \right)^k \\ & 4 \frac{\sinh(p_1 p_2 z) \sinh(p_1 p_3 z) \sinh(p_2 p_3 z)}{\sinh(Pz)} = \sum_{n=0}^{\infty} \frac{L(-2n, \chi)}{(2n)!} z^{2n} \end{aligned}$$

# Habiro's Unified WRT Invariant

$$(x)_n = \prod_{j=1}^n (1 - xq^{j-1})$$

For  $\mathbb{Z}$ HS  $M$ , Habiro introduced **unified WRT** invariant  $I_q(M)$  with values in  $\varprojlim_n \mathbb{Z}[q]/((q)_n)$  such that

$$\tau_N(M) = \text{ev}_{q=e^{2\pi i/N}}(I_q(M)); \quad I_q(M) = \sum_{n=0}^{\infty} f_n(q)(q)_n$$

Cyclotomic expansion of the colored Jones polynomial  $J_K(N)$  for knot  $K$ ;

$$J_K(N) = \sum_{n=0}^{\infty} (q^{1+N})_n (q^{1-N})_n C_K(n); \quad C_K(n) \in \mathbb{Z}[q, q^{-1}]$$

where we normalize  $J_{\text{unknot}}(N) = 1$ , and  $J_K(1) = 1$ .

$$C_K(n) = q^n \sum_{k=0}^n (-1)^k q^{\frac{1}{2}k(k-1)} \frac{(1 - q^{k+1})(1 - q^{2k+2})}{(q)_{n-k}(q)_{n+k+2}} J_K(k+1)$$



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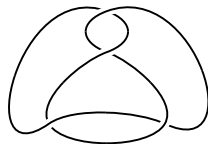
When  $M_{\pm 1}$  is  $\pm 1$ -surgery of knot  $K$ , unified WRT is (Beliakova–Blanchet–Le)

$$(1-q)I_q(M_{+1}) = \sum_{n=0}^{\infty} (-1)^n q^{-\frac{n(n+3)}{2}} C_K(n)(q^{n+1})_{n+1}; \quad (1-q)I_q(M_{-1}) = \sum_{n=0}^{\infty} C_K(n)(q^{n+1})_{n+1}$$

# Example: Poincaré Homology Sphere

Let  $K_p$  be the twist knot (Masbaum).

$$J_{K_p}(N) = \sum_{s_p \geq \dots \geq s_1 \geq 0} q^{s_p} (q^{1-N})_{s_p} (q^{1+N})_{s_p} \prod_{i=1}^{p-1} q^{s_i(s_i+1)} \begin{bmatrix} s_{i+1} \\ s_i \end{bmatrix}_q$$



As a consequence, the unified WRT for the homology sphere  $\Sigma(2, 3, 6p - 1)$  is

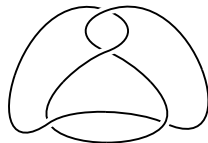
Poincaré homology ( $p = 1$ ):  $1 + q(1 - q)I_q(\Sigma(2, 3, 5)) = \sum_{n=0}^{\infty} q^n (q^n)_n$

$$p > 1: (1 - q)I_q(M) = \sum_{s_p \geq \dots \geq s_1 \geq 0} q^{s_p} (q^{s_p+1})_{s_p+1} \prod_{i=1}^{p-1} q^{s_i(s_i+1)} \begin{bmatrix} s_{i+1} \\ s_i \end{bmatrix}_q$$

# Example: Poincaré Homology Sphere

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$$J_{K_p}(N) = \sum_{s_p \geq \dots \geq s_1 \geq 0}^{\infty} q^{s_p} (q^{1-N})_{s_p} (q^{1+N})_{s_p} \prod_{i=1}^{p-1} q^{s_i(s_i+1)} \begin{bmatrix} s_{i+1} \\ s_i \end{bmatrix}_q$$



As a consequence, the unified WRT for the homology sphere  $\Sigma(2, 3, 6p - 1)$  is

$$\text{Poincaré homology } (p = 1): 1 + q(1 - q)I_q(\Sigma(2, 3, 5)) = \sum_{n=0}^{\infty} q^n (q^n)_n$$

Nearly modularity of WRT  $\tau_N(M)$

$q$  root of unity

→

unified WRT  $I_q(M)?$

generic  $q$

# Mock Theta Functions

- Ramanujan's last letter to Hardy (Jan. 12, 1920)
  - 17 mock theta functions  
(4 3rd order / 10 5th order / 3 7th order)
- G.N. Watson: "The Final Problem" (1936)
  - Transformation formulae for 3rd order
- "Lost Notebook" (1976, published in 1988)

3rd order

$$f(q) = \sum_{n=0}^{\infty} \frac{q^{n^2}}{[(-q)_n]^2}$$
$$\omega(q) = \sum_{n=0}^{\infty} \frac{q^{2n(n+1)}}{[(q; q^2)_{n+1}]^2}$$

$$q^{-\frac{1}{24}} f(q) - 2 \sqrt{\frac{2\pi}{\alpha}} q_1^{4/3} \omega(q_1^2) = 4 \underbrace{\sqrt{\frac{3\alpha}{2\pi}} \int_0^{\infty} e^{-\frac{3}{2}\alpha x^2} \frac{\sinh(\alpha x)}{\sinh(\frac{3}{2}\alpha x)} dx}_{\text{Mordell integral}}$$

$$q^{\frac{2}{3}} \omega(-q) + \sqrt{\frac{\pi}{\alpha}} q_1^{2/3} \omega(-q_1) = 2 \sqrt{\frac{3\alpha}{\pi}} \int_0^{\infty} e^{-3\alpha x^2} \frac{\sinh(\alpha x)}{\sinh(3\alpha x)} dx$$

$$q = e^{-\alpha}$$

$$\alpha \beta = \pi^2$$

$$q_1 = e^{-\beta}$$

# Mock Theta Functions

one of 5th order mock theta functions

$$\chi_0(q) = \sum_{n=0}^{\infty} \frac{q^n}{(q^{n+1})_n}$$

Define  $\chi_0^*(q)$  based on above expression with  $q$  outside the unit circle

$$\chi_0^*(q) = 2 - \chi_0(1/q) = 2 - \sum_{n=0}^{\infty} (-1)^n \frac{q^{\frac{1}{2}n(3n-1)}}{(q^{n+1})_n}$$

which gives the Eichler integral

$$\chi_0^*(q) = \sum_{n=0}^{\infty} q^n (q^n)_n$$

Namely

$$1 + q(1-q)I_q(\Sigma(2,3,5)) = \chi_0^*(q)$$

# Mock Theta Functions

one of 5th order mock theta functions

$$\chi_0(q) = \sum_{n=0}^{\infty} \frac{q^n}{(q^{n+1})_n}$$

How about other manifolds ?

Define  $\chi_0^*(q)$  based on above expression with  $q$  outside the unit circle

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Namely

$$1 + q(1-q)I_q(\Sigma(2,3,5)) = \chi_0^*(q)$$

# WRT for Other Seifert Manifolds

Let  $f(x, y, z)$  be weighted homogeneous polynomial with weight  $(d_1, d_2, d_3)$

$$t(x, y, z) = (t^{d_1} x, t^{d_2} y, t^{d_3} z)$$

This  $\mathbb{C}^*$ -action induces  $S^1$ -action on the variety  $V = \{f(x, y, z) = 0\}$ . The **Seifert manifold**  $M$  is given as an intersection of  $V$  with sufficiently small sphere around the origin;

$$M = V \cap S^5$$

Linking pairing  $\lambda_M$  is defined with torsion part of  $H_1(M; \mathbb{Z})$  by

$$\lambda_M : \text{Tors } H_1(M; \mathbb{Z}) \otimes \text{Tors } H_1(M; \mathbb{Z}) \rightarrow \mathbb{Q}/\mathbb{Z}$$

For  $\mathbf{a}, \mathbf{a}' \in \text{Tors } H_1(M; \mathbb{Z})$ , we choose  $s \in \mathbb{Z}_{\neq 0}$  s.t.  $s\mathbf{a} = 0 \in H_1(M; \mathbb{Z})$ , and we set 2-chain  $\mathbf{B}$  bounded as  $\partial\mathbf{B} = s\mathbf{a}$ .

$$\lambda_M(\mathbf{a}, \mathbf{a}') = \frac{\#(\mathbf{B} \cdot \mathbf{a}')}{s} \pmod{\mathbb{Z}}$$

# WRT for Other Seifert Manifolds

## ADE Singularities

|            | $f(x, y, z)$            | Seifert invariant<br>$M(b; p_1/q_1, p_2/q_2, p_3/q_3)$ | $H_1(M; \mathbb{Z})$               | $\lambda_M$                                                                         |
|------------|-------------------------|--------------------------------------------------------|------------------------------------|-------------------------------------------------------------------------------------|
| $D_{4K}$   | $x^{4K-1} + xy^2 + z^2$ | $M(-1; 2/1, 2/1, 4K-2/1)$                              | $\mathbb{Z}_2 \oplus \mathbb{Z}_2$ | $\begin{pmatrix} 0 & 1/2 \\ 1/2 & 0 \end{pmatrix}$                                  |
| $D_{4K+2}$ | $x^{4K+1} + xy^2 + z^2$ | $M(-1; 2/1, 2/1, 4K/1)$                                | $\mathbb{Z}_2 \oplus \mathbb{Z}_2$ | $\begin{pmatrix} 1/2 \\ 1/2 \end{pmatrix} \oplus \begin{pmatrix} 1/2 \end{pmatrix}$ |
| $D_{4K+1}$ | $x^{4K} + xy^2 + z^2$   | $M(-1; 2/1, 2/1, 4K-1/1)$                              | $\mathbb{Z}_4$                     | $\begin{pmatrix} 3/4 \\ 3/4 \end{pmatrix}$                                          |
| $D_{4K+3}$ | $x^{4K+2} + xy^2 + z^2$ | $M(-1; 2/1, 2/1, 4K+1/1)$                              | $\mathbb{Z}_4$                     | $\begin{pmatrix} 1/4 \\ 1/4 \end{pmatrix}$                                          |
| $E_6$      | $x^4 + y^3 + z^2$       | $M(-1; 2/1, 3/1, 3/1)$                                 | $\mathbb{Z}_3$                     | $\begin{pmatrix} 2/3 \\ 2/3 \end{pmatrix}$                                          |
| $E_7$      | $x^3y + y^3 + z^2$      | $M(-1; 2/1, 3/1, 4/1)$                                 | $\mathbb{Z}_2$                     | $\begin{pmatrix} 1/2 \\ 1/2 \end{pmatrix}$                                          |
| $E_8$      | $x^5 + y^3 + z^2$       | $M(-1; 2/1, 3/1, 5/1)$                                 | $0$                                | $\emptyset$                                                                         |



# WRT for Other Seifert Manifolds

## Arnold's 14 Unimodal Singularities

|          | $f(x,y,z)$             | Dolgachev | Seifert invariant      | $H_1(M;Z)$       | Gabrielov | $\lambda_M$                                                                        |
|----------|------------------------|-----------|------------------------|------------------|-----------|------------------------------------------------------------------------------------|
| $E_{12}$ | $x^7 + y^3 + z^2$      | (2, 3, 7) | $M(-1; 2/1, 3/1, 7/1)$ | 0                | (2, 3, 7) | $\emptyset$                                                                        |
| $E_{13}$ | $x^5 y + y^3 + z^2$    | (2, 4, 5) | $M(-1; 2/1, 4/1, 5/1)$ | $Z_2$            | (2, 3, 8) | $\begin{pmatrix} 1 \\ 2 \end{pmatrix}$                                             |
| $E_{14}$ | $x^8 + y^3 + z^2$      | (3, 3, 4) | $M(-1; 3/1, 3/1, 4/1)$ | $Z_3$            | (2, 3, 9) | $\begin{pmatrix} 2 \\ 3 \end{pmatrix}$                                             |
| $Z_{11}$ | $x^5 + xy^3 + z^2$     | (2, 3, 8) | $M(-1; 2/1, 3/1, 8/1)$ | $Z_2$            | (2, 4, 5) | $\begin{pmatrix} 1 \\ 2 \end{pmatrix}$                                             |
| $Z_{12}$ | $x^4 y + xy^3 + z^2$   | (2, 4, 6) | $M(-1; 2/1, 4/1, 6/1)$ | $Z_2 \oplus Z_2$ | (2, 4, 6) | $\begin{pmatrix} 1 \\ 2 \end{pmatrix} \oplus \begin{pmatrix} 1 \\ 2 \end{pmatrix}$ |
| $Z_{13}$ | $x^6 + xy^3 + z^2$     | (3, 3, 5) | $M(-1; 3/1, 3/1, 5/1)$ | $Z_2 \oplus Z_3$ | (2, 4, 7) | $\begin{pmatrix} 1 \\ 2 \end{pmatrix} \oplus \begin{pmatrix} 2 \\ 3 \end{pmatrix}$ |
| $W_{12}$ | $x^5 + y^4 + z^2$      | (2, 5, 5) | $M(-1; 2/1, 5/1, 5/1)$ | $Z_5$            | (2, 5, 5) | $\begin{pmatrix} 3 \\ 5 \end{pmatrix}$                                             |
| $W_{13}$ | $x^4 y + y^4 + z^2$    | (3, 4, 4) | $M(-1; 3/1, 4/1, 4/1)$ | $Z_2 \oplus Z_4$ | (2, 5, 6) | $\begin{pmatrix} 5 \\ 8 \end{pmatrix}$                                             |
| $Q_{10}$ | $x^4 + y^3 + xz^2$     | (2, 3, 9) | $M(-1; 2/1, 3/1, 9/1)$ | $Z_3$            | (3, 3, 4) | $\begin{pmatrix} 1 \\ 3 \end{pmatrix}$                                             |
| $Q_{11}$ | $x^3 y + y^3 + xz^2$   | (2, 4, 7) | $M(-1; 2/1, 4/1, 7/1)$ | $Z_2 \oplus Z_3$ | (3, 3, 5) | $\begin{pmatrix} 1 \\ 2 \end{pmatrix} \oplus \begin{pmatrix} 1 \\ 3 \end{pmatrix}$ |
| $Q_{12}$ | $x^5 + y^3 + xz^2$     | (3, 3, 6) | $M(-1; 3/1, 3/1, 6/1)$ | $Z_3 \oplus Z_3$ | (3, 3, 6) | $\begin{pmatrix} 1 \\ 3 \end{pmatrix} \oplus \begin{pmatrix} 2 \\ 3 \end{pmatrix}$ |
| $S_{11}$ | $x^4 + y^2 z + xz^2$   | (2, 5, 6) | $M(-1; 2/1, 5/1, 6/1)$ | $Z_2 \oplus Z_4$ | (3, 4, 4) | $\begin{pmatrix} 3 \\ 8 \end{pmatrix}$                                             |
| $S_{12}$ | $x^3 y + y^2 z + xz^2$ | (3, 4, 5) | $M(-1; 3/1, 4/1, 5/1)$ | $Z_{13}$         | (3, 4, 5) | $\begin{pmatrix} 5 \\ 13 \end{pmatrix}$                                            |
| $U_{12}$ | $x^4 + y^3 + z^3$      | (4, 4, 4) | $M(-1; 4/1, 4/1, 4/1)$ | $Z_4 \oplus Z_4$ | (4, 4, 4) | $\begin{pmatrix} 1 & 1 \\ 2 & 4 \\ 1 & 1 \\ 4 & 2 \end{pmatrix}$                   |

# WRT for Other Seifert Manifolds

Conjecture: WRT invariant for QHS  $M$  is decomposed as

$$\tau_N(M) = \sum_{\ell \in \text{Tors} H_1(M; \mathbb{Z})} e^{2\pi i N \lambda_M(\ell, \ell)} \cdot \underbrace{\int_{q=e^{2\pi i/N}}^{(\lambda_M(\ell, \ell))} (M)}_{\tau \setminus 1/N \text{ of } q\text{-series}}$$

Arnold's strange duality: There exists a unimodal singularity  $X^*$  whose Gabrielov number  $(b_1^*, b_2^*, b_3^*)$  coincides with the Dolgatchev number  $(p_1, p_2, p_3)$  of  $X$ .

- 2 orthogonal sublattices of  $K3$ 's intersection matrix (Pinkham, Dolgachev–Nikulin)
- weight system (K.Saito)
- triangular singularity  $\mathbb{H}/\Gamma$   
 $\Gamma$ : hyperbolic triangle  $\frac{\pi}{p_i}$
- Milnor lattice  
 $T_{b_1, b_2, b_3} \oplus \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$

Let  $M$  and  $M^*$  be Seifert manifolds associated with Arnold's unimodal singularities  $X$  and  $X^*$  respectively. There exists a **duality of linking pairing**

$$\lambda_M = -\lambda_{M^*}$$

# WRT for Other Seifert Manifolds

- $M = M(2, 2, K)$  with  $K$  even;  $H_1 = \mathbb{Z}_2 \oplus \mathbb{Z}_2$ ,  $\lambda_M = \begin{cases} \begin{pmatrix} 0 & \frac{1}{2} \\ \frac{1}{2} & 0 \end{pmatrix} & \text{for } K \equiv 2 \pmod{4} \\ \begin{pmatrix} \frac{1}{2} \\ \frac{1}{2} \end{pmatrix} \oplus \begin{pmatrix} \frac{1}{2} \end{pmatrix} & \text{for } K \equiv 0 \pmod{4} \end{cases}$

$$\begin{aligned}
 & e^{\frac{\pi i}{2N} \left( \sqrt{K} - \frac{1}{\sqrt{K}} \right)^2} \left( e^{\frac{2\pi i}{N}} - 1 \right) \tau_N(M) \\
 &= \left( 1 + (-1)^{N \left( 1 + \frac{K}{2} \right)} \right) \left( e^{\frac{\pi i}{2KN}} - \tilde{\Psi}_K^{(1)}(1/N) - \tilde{\Psi}_K^{(K-1)}(1/N) \right)
 \end{aligned}$$

- $M = M(2, 2, K)$  with  $K$  odd;  $H_1 = \mathbb{Z}_4$ ,  $\lambda_M = \begin{cases} \begin{pmatrix} \frac{1}{4} \\ \frac{3}{4} \end{pmatrix} & \text{for } K \equiv 1 \pmod{4} \\ \begin{pmatrix} \frac{3}{4} \\ \frac{1}{4} \end{pmatrix} & \text{for } K \equiv 3 \pmod{4} \end{cases}$

$$\begin{aligned}
 & e^{\frac{\pi i}{2N} \left( \sqrt{K} - \frac{1}{\sqrt{K}} \right)^2} \left( e^{\frac{2\pi i}{N}} - 1 \right) \tau_N(M) \\
 &= \left( e^{\frac{\pi i}{2KN}} - \tilde{\Psi}_K^{(1)}(1/N) - \tilde{\Psi}_K^{(K-1)}(1/N) \right) + e^{-\frac{K}{2} \pi i N} \left( e^{\frac{\pi i}{2KN}} + \tilde{\Psi}_K^{(1)}(1/N) + \tilde{\Psi}_K^{(K-1)}(1/N) \right)
 \end{aligned}$$

# WRT for Other Seifert Manifolds

- $E_6$  or  $M = M(2, 3, 3)$ ;  $H_1 = \mathbb{Z}_3$ ,  $\lambda_M = \left(\frac{2}{3}\right)$

$$e^{\frac{13\pi i}{12N}} \left( e^{\frac{2\pi i}{N}} - 1 \right) \tau_N(M) = \frac{1}{2\sqrt{3}} \left( 2e^{\frac{\pi i}{12N}} - \tilde{\Psi}_6^{(1)}\left(\frac{1}{N}\right) - 2\tilde{\Psi}_6^{(3)}\left(\frac{1}{N}\right) - \tilde{\Psi}_6^{(5)}\left(\frac{1}{N}\right) \right) \\ + \frac{e^{\frac{2}{3}\pi i N}}{\sqrt{3}} \left( 2e^{\frac{\pi i}{12N}} - \tilde{\Psi}_6^{(1)}\left(\frac{1}{N}\right) + \tilde{\Psi}_6^{(3)}\left(\frac{1}{N}\right) - \tilde{\Psi}_6^{(5)}\left(\frac{1}{N}\right) \right)$$

- $E_7$  or  $M = M(2, 3, 4)$ ;  $H_1 = \mathbb{Z}_2$ ,  $\lambda_M = \left(\frac{1}{2}\right)$

$$e^{\frac{37\pi i}{24N}} \left( e^{\frac{2\pi i}{N}} - 1 \right) \tau_N(M) \\ = \frac{1}{\sqrt{8}} \left( 1 + (-1)^N \right) \left( 2e^{\frac{\pi i}{24N}} - \tilde{\Psi}_{12}^{(1)}\left(\frac{1}{N}\right) - \tilde{\Psi}_{12}^{(5)}\left(\frac{1}{N}\right) - \tilde{\Psi}_{12}^{(7)}\left(\frac{1}{N}\right) - \tilde{\Psi}_{12}^{(11)}\left(\frac{1}{N}\right) \right)$$

# WRT for Other Seifert Manifolds

- $E_{12}; M = M(-1; 2/1, 3/1, 7/1)$  is  $\mathbb{Z}HS$

$$e^{-\frac{\pi i}{84N}} \left( e^{\frac{2\pi i}{N}} - 1 \right) \tau_N(M) = \frac{i}{2} \left( \tilde{\Psi}_{42}^{(1)} \left( -\frac{1}{N} \right) - \tilde{\Psi}_{42}^{(13)} \left( -\frac{1}{N} \right) - \tilde{\Psi}_{42}^{(29)} \left( -\frac{1}{N} \right) + \tilde{\Psi}_{42}^{(41)} \left( -\frac{1}{N} \right) \right)$$

- $Z_{11}; M = M(-1; 2/1, 3/1, 8/1); H_1(M) = \mathbb{Z}_2, \lambda_M = \left( \frac{1}{2} \right)$

$$\begin{aligned} e^{\frac{23\pi i}{48N}} \left( e^{\frac{2\pi i}{N}} - 1 \right) \tau_N(M) \\ = \frac{i}{\sqrt{8}} \left( 1 + (-1)^N \right) \left( \tilde{\Psi}_{24}^{(1)} \left( -\frac{1}{N} \right) - \tilde{\Psi}_{24}^{(7)} \left( -\frac{1}{N} \right) - \tilde{\Psi}_{24}^{(17)} \left( -\frac{1}{N} \right) + \tilde{\Psi}_{24}^{(23)} \left( -\frac{1}{N} \right) \right) \end{aligned}$$

- $E_{13}; M = M(-1; 2/1, 4/1, 5/1); H_1(M) = \mathbb{Z}_2, \lambda_M = \left( \frac{1}{2} \right)$

$$\begin{aligned} e^{-\frac{21\pi i}{40N}} \left( e^{\frac{2\pi i}{N}} - 1 \right) \tau_N(M) \\ = \frac{i}{\sqrt{8}} \left( 1 + (-1)^N \right) \left( \tilde{\Psi}_{20}^{(1)} \left( -\frac{1}{N} \right) - \tilde{\Psi}_{20}^{(9)} \left( -\frac{1}{N} \right) - \tilde{\Psi}_{20}^{(11)} \left( -\frac{1}{N} \right) + \tilde{\Psi}_{20}^{(19)} \left( -\frac{1}{N} \right) \right) \end{aligned}$$

# WRT for Other Seifert Manifolds

- $Q_{12}; M = M(-1; 3/1, 3/1, 6/1); H_1(M) = \mathbb{Z}_3 \oplus \mathbb{Z}_3, \lambda_M = \left(\frac{1}{3}\right) \oplus \left(\frac{2}{3}\right)$

$$e^{-\frac{1}{24N}\pi i} \left( e^{\frac{2\pi i}{N}} - 1 \right) \cdot \tau_N(M) = \frac{i}{6} \left\{ \left( 1 + 2e^{\frac{2}{3}\pi i N} \right) \cdot \left( \tilde{\Psi}_6^{(1)} - \tilde{\Psi}_6^{(3)} \right) (-1/N) \right. \\ \left. + \left( 2 + e^{-\frac{2}{3}\pi i N} \right) \cdot \left( 2\tilde{\Psi}_6^{(1)} + \tilde{\Psi}_6^{(3)} \right) (-1/N) + 2 \left( -2 + e^{\frac{2}{3}\pi i N} + e^{-\frac{2}{3}\pi i N} \right) \tilde{\Psi}_6^{(5)} (-1/N) \right\}$$

- $S_{11}; M = M(-1; 2/1, 5/1, 6/1); H_1(M) = \mathbb{Z}_8, \lambda_M = \left(\frac{3}{8}\right)$

$$e^{\frac{13}{30N}\pi i} \left( e^{\frac{2\pi i}{N}} - 1 \right) \cdot \tau_N(M) = \frac{i}{2\sqrt{2}} \left\{ \left( 1 + (-1)^N \right) \left( \tilde{\Psi}_{15}^{(2)} - \tilde{\Psi}_{15}^{(8)} \right) \left( -\frac{1}{2N} \right) \right. \\ \left. - \left( 1 - (-1)^N \right) \left( \tilde{\Psi}_{15}^{(7)} - \tilde{\Psi}_{15}^{(13)} \right) \left( -\frac{1}{2N} \right) + 2e^{-\frac{3}{4}\pi i N} \left( \tilde{\Psi}_{15}^{(1)} + \tilde{\Psi}_{15}^{(4)} + \tilde{\Psi}_{15}^{(11)} + \tilde{\Psi}_{15}^{(14)} \right) \left( -\frac{2}{N} \right) \right\}$$

- $W_{13}; M = M(-1; 3/1, 4/1, 4/1); H_1(M) = \mathbb{Z}_8, \lambda_M = \left(\frac{5}{8}\right)$

$$e^{-\frac{7}{12N}\pi i} \left( e^{\frac{2\pi i}{N}} - 1 \right) \cdot \tau_N(M) = \frac{i}{2\sqrt{2}} \left\{ \left( 1 + (-1)^N + 2e^{\frac{3}{4}\pi i N} \right) \cdot \left( \tilde{\Psi}_6^{(1)} - \tilde{\Psi}_6^{(5)} \right) (-1/N) \right. \\ \left. + 2 \left( -1 + (-1)^N \right) \cdot \tilde{\Psi}_6^{(4)} (-1/N) \right\}$$

# WRT for Other Seifert Manifolds

| order | mock theta functions           | 3-manifolds $M$                               |
|-------|--------------------------------|-----------------------------------------------|
| 3     | $\phi(q)$                      | $M(2,3,4)$                                    |
|       | $\omega(q)$                    | $M(2,2,3)$                                    |
| 5     | $\chi_0(q)$                    | Poincaré $\mathbb{Z}\text{HS } \Sigma(2,3,5)$ |
| 6     | $\varphi(q), \psi(q), \rho(q)$ | $M(2,3,3), M(2,2,6), M(2,2,2)$                |
| 7     | $\mathcal{F}_0(q)$             | $\mathbb{Z}\text{HS } \Sigma(2,3,7)$          |
| 10    | $\Psi(q), X(q)$                | $M(2,2,5)$                                    |

## Freeman J. Dyson: "A walk through Ramanujan's Garden" (1988)

The mock theta-functions give us tantalizing hints of a grand synthesis still to be discovered. Somehow it should be possible to build them into a coherent group-theoretical structure, analogous to the structure of modular forms which Hecke build around the old theta-functions of Jacobi. This remains a challenge for the future. My dream is that I will live to see the day when our young physicists, struggling to bring the predictions of superstring theory into correspondence with the facts of nature, will be led to enlarge their analytic machinery to include not only theta-functions but mock theta-functions.



# Remarks: Superconformal Algebras, Mock Theta & CS

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- Mock theta fn is a holomorphic part of  $w\tau-1/2$  harmonic Maass form (Zwegers)

$$(\Im\tau)^{3/2} \frac{\partial}{\partial\tau} \sqrt{\Im\tau} \frac{\partial}{\partial\bar{\tau}} \hat{\mu}(z; \tau) = 0$$

- theory of partition (Bringmann–Ono)

# Remarks: Superconformal Algebras, Mock Theta & CS

two types representation of  $\mathcal{N} = 4$  SCA ( $c = 6$ );

- massive (non-BPS);  $h > \frac{1}{4}$  for  $\ell = \frac{1}{2}$
- massless (BPS);  $h = \frac{1}{4}$  for  $\ell = 0, \frac{1}{2}$

$$\begin{cases} L_0|\Omega\rangle = h|\Omega\rangle \\ T_0^3|\Omega\rangle = \ell|\Omega\rangle \end{cases}$$

characters in R-sector:

(Eguchi-Taormina)

$$\text{ch}_{h,\ell}^R(z;\tau) = \text{Tr}_R \left( e^{2\pi i z T_0^3} q^{L_0 - \frac{6}{24}} \right)$$

massless character is

$$\text{ch}_{h=\frac{1}{4},\ell=0}^{\tilde{R}}(z;\tau) = \frac{[\theta_{11}(z;\tau)]^2}{[\eta(\tau)]^3} \mu(z;\tau); \quad \mu(z;\tau) = \frac{ie^{\pi iz}}{\theta_{11}(z;\tau)} \sum_{n \in \mathbb{Z}} (-1)^n \frac{q^{\frac{1}{2}n(n+1)} e^{2\pi in z}}{1 - q^n e^{2\pi iz}}$$

$\mu(z;\tau)$  is mock theta function whose completion is;  $\hat{\mu}(z;\tau) = \mu(z;\tau) - \frac{1}{2}R(0;\tau)$

$$R(0;\tau) = \frac{1}{\sqrt{i}} \int_{-\bar{\tau}}^{i\infty} \frac{[\eta(z)]^3}{\sqrt{z+\tau}} dz; \text{ which gives WRT for } M(2,2,2) \text{ in } \tau \searrow \frac{1}{N}$$

# Remarks: Superconformal Algebras, Mock Theta & CS

Decomposition of elliptic genus for  $K3$  surface

(T.Eguchi–KH)

$$\begin{aligned}
 Ell_{K3}(z; \tau) &= 8 \left[ \left( \frac{\theta_{10}(z; \tau)}{\theta_{10}(0; \tau)} \right)^2 + \left( \frac{\theta_{00}(z; \tau)}{\theta_{00}(0; \tau)} \right)^2 + \left( \frac{\theta_{01}(z; \tau)}{\theta_{01}(0; \tau)} \right)^2 \right] \\
 &= 24 \operatorname{ch}_{h=1/4, \ell=0}^{\tilde{R}}(z; \tau) - 8 \frac{[\theta_{11}(z; \tau)]^2}{[\eta(\tau)]^3} \sum_{w \in \{1/2, (1+\tau)/2, \tau/2\}} \mu(w; \tau) \\
 &= 24 \operatorname{ch}_{h=1/4, \ell=0}^{\tilde{R}}(z; \tau) - q^{-1/8} \frac{[\theta_{11}(z; \tau)]^2}{[\eta(\tau)]^3} \left( 2 - \sum_{n=1}^{\infty} A_n q^n \right) \\
 &= 20 \operatorname{ch}_{1/4, 0}^{\tilde{R}}(z; \tau) - 2 \operatorname{ch}_{1/4, 1/2}^{\tilde{R}}(z; \tau) + \sum_{n=1}^{\infty} A_n \operatorname{ch}_{n+1/4, 1/2}^{\tilde{R}}(z; \tau)
 \end{aligned}$$

$$A_n = \frac{4\pi}{(8n-1)^{1/4}} \sum_{c=1}^{\infty} \frac{1}{c} I_{1/2} \left( \frac{\pi \sqrt{8n-1}}{2c} \right) \sum_{\substack{d \pmod{c} \\ (c,d)=1}} e^{-3\pi i s(d,c) + 2\pi i \frac{d}{c} n}; \log A_n \sim 2\pi \sqrt{\frac{1}{2} \left( n - \frac{1}{8} \right)}$$

# Conclusion

- $SU(2)$  WRT invariant for Seifert manifolds & modular forms
  - mock theta functions
  - unified WRT
  - decomposition in terms of linking pairing
- knot invariant
  - colored Jones polynomial at  $q = e^{2\pi i/N}$  (Volume Conjecture) for torus knot  $T(a, b)$  is the Eichler integral of  $w_{t-1/2}$  modular form (Virasoro minimal model)

- 
- hyperbolic case ?
    - “quantum modular form” (Zagier)
  - other gauge groups ?