

# Chern-Simons Theory & Topological Strings

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## ⇒ Topological String Theory on Calabi-Yau manifolds

★ A-model & Integrality Conjectures

★ B-model

★ Mirror Duality & Large N-Duality

## ⇒ Large N-expansion of Chern-Simons theory

## ⇒ Dual Gauge theory $\leftrightarrow$ String theory backgrounds

★  $T^*S^3 \leftrightarrow \mathcal{O}(-1) \oplus \mathcal{O}(-1) \rightarrow \mathbb{P}^1$

★ Lens spaces  $L(p, 1) \leftrightarrow A_p$ -geometries

## ⇒ The topological Vertex

# Topological String on Calabi-Yau manifolds

String Theory:

$$X : \Sigma_{g,h} \rightarrow (M, L)$$

Partition function  $Z$  (and other correlators)

$$Z(M, L) = \int \mathcal{D}X \mathcal{D}h \mathcal{D}\Psi_{\pm} \mathcal{D}\bar{\Psi}_{\pm} e^{-S(X, h, \Psi_{\pm}, \bar{\Psi}_{\pm}, G, B, A, \dots)} .$$

$\mathcal{D}h$ , w.s. metric integral, becomes finite for  $M_{10} = M \times M_{3,1}$  ( $\dim_{\mathbb{C}}(M) = 3$ ).

Still the variational integral cannot be performed.

Topological string theories are **truncations** of the theory of physical (**supersymmetry**) and mathematical (**invariants of  $(M,L)$** ) interest.

The relevant cases require

★  $M$  **Kähler** &  $c_1(TM) = 0 \leftrightarrow \exists \Omega$  hol.  $(n, 0)$ -form,

★  $L$  **Lagrangian** & special  $\Omega|_L = \text{vol}(L)$  .

Geometry of a Calabi-Yau manifold with special Lagrangian branes or coherent sheaves.

For the choice of  $M$  one has a  $(2, 2)$  w.s. SCFT with  $U(1)_V$  and  $U(1)_A$  currents, which can be used to defined two **scalar, nilpotent operators**:  $Q_A^2 = 0$  or  $Q_B^2 = 0$

$$\int_{\Sigma} \partial_{\mu} J_V^{\mu} = 0, \quad U(1)_{L'} = U(1)_L + U(1)_V \rightarrow Q_A$$

$$\int_{\Sigma} \partial_{\mu} J_A^{\mu} = \int_{\Sigma} X^*(c_1), \quad U(1)_{L'} = U(1)_L + U(1)_A \rightarrow Q_B$$

This defines two cohomological theories:

A-model (M,L)  
 Kaehler structure def (M,L)  
 L special Lagrangian

B-model(W,D)  
 complex structure def (W,D)  
 D coherent sheaf



Mirror Symmetry

## A-model:

The path integral **localizes** to **holomor. maps**  $\partial_{\bar{z}} X^i = 0$ .

In particular it becomes formal power series in  $g_s$ , in which every term is a well defined finite dim integral

Connected contr.  $F = \text{Log}(Z) = \sum_{g=0}^{\infty} g_s^{2g-2} F_g(q, Q)$

$$F = \sum_{g=0}^{\infty} g_s^{2g-2} \sum_{(\beta, h) \in (H^2(M, L, \mathbb{Z}), H^1(L, \mathbb{Z}))} q^\beta Q^h \underbrace{\int_{\mathcal{M}_{\beta, h}^g(M, L)} c_{\beta, h}^{g \text{ vir}}}_{r_{\beta, h}^g}$$

Gromov – Witten Inv.  $\rightarrow$

★  $q^\beta = \prod_i e^{-\beta^i t_i}$ ,  $\underline{\beta} \in \mathbb{Z}^{h_{11}}$  degrees,

$$t_i = \int_{C^{(2),i}} \omega + iB$$

complexified Kähler parameter

$$\star Q^h = \prod_i e^{-h^i u_i}, \quad \underline{h} \in \mathbb{Z}^{h^1(L)} \text{ windings,}$$

$$u_i = \int_{C^{(1),i \in L}} \omega^* + iA$$

Open string (Wilson line) moduli.

★ Mathematical theory defining the  $r_\beta^g \in \mathbb{Q}$  for closed oriented  $\Sigma$ .

★ Expected dimension critical for on  $CY$  3-folds

$$\text{vd}_{\mathbb{C}} \overline{\mathcal{M}}_{\beta}^g(M) = c_1(T_M) \cdot \beta + (d_{\mathbb{C}}(M) - 3)(1 - g) .$$

★ For compl. intersec.  $M \in X_{\Delta}$ ,  $X_{\Delta}$  **toric**, the  $r_{\beta}^{g=0/1} \in \mathbb{Q}$  can be calculated by localization, w.r.t. induced torus action on  $\overline{\mathcal{M}}_{\beta}^g(M)$  **Kontsevich, Givental, . . . .**

★ On non-compact toric  $CY$ ,  $\mathcal{O}(-K_B) \rightarrow B$ ,  $B$  toric,  $r_{\beta}^g \in \mathbb{Q}$  &  $r_{\beta,h}^g \in \mathbb{Q}$  on Harvey-Lawson type SLAG  $L$  **A.K.**, **Zaslow, Graber . . . .**



# Symplectic invariants and integrality conjectures:

Gromov–Witten  
invariants



Gopakumar–Vafa  
invariants

(homology indices in moduli  
space of  $D0+D2+1$   $D6$  branes  
count BPS states)

Donaldson–Thomas  
invariants

(homology indices in moduli  
space of free sheaves)

The relation between DT- GV- and GW invariants

**MNOP 04:**  $Z_{\text{GV}} = \exp(F)$

$$Z_{\text{GV}}(M, q_{g_s}, q) \mathbb{M}(q_{g_s})^{\frac{\chi(M)}{2}} = Z_{\text{DT}}(M, -q_{g_s}, q) \quad \text{with}$$

$$q_{g_s} = e^{ig_s}, \quad Z_{\text{DT}}(M, q_{g_s}, q) = \sum_{\beta, k \in \mathbb{Z}} n_{\beta}^{(k)} q_{g_s}^k q^{\beta} \quad \text{and}$$

$$Z_{\text{GV}} = e^{\frac{c(t)}{g_s^2} + l(t)} \prod_{\beta} \left[ \left( \prod_{r=1}^{\infty} (1 - q_{g_s}^r q^{\beta})^{r \tilde{n}_{\beta}^{(0)}} \right) \right. \\ \left. \prod_{g=1}^{\infty} \prod_{l=0}^{2g-2} (1 - q_{g_s}^{g-l-1} q^{\beta})^{(-1)^{g+r} \binom{2g-2}{l} \tilde{n}_{\beta}^{(g)}} \right]$$

where  $n_{\beta}^{(k)} \in \mathbb{Z}!$  and  $\tilde{n}_{\beta}^{(k)} \in \mathbb{Z}!$   $\mathbb{M}$  **McMahon** funct.

## B-model:

The path integral **localizes** to **constant maps**.

$$\begin{aligned}
 D_i D_j D_k F_0 &= \int_W \Omega \wedge \partial_i \partial_j \partial_k \Omega \\
 F_1 &= \int_{\mathcal{F}} \frac{d^2 \tau}{\tau_2} \text{Tr}(-1)^F F_L F_R q^{L_0} \bar{q}^{\bar{L}_0}, \\
 F_{g>1} &= \int_{\overline{\mathcal{M}}_g} \langle \prod_{k=1}^{6g-6} (G, \mu_k) \rangle,
 \end{aligned}$$

with  $(G, \mu_k) = \int_{\Sigma_g} (G_{zz}(\mu) \frac{z}{\bar{z}} + G_{\bar{z}\bar{z}}(\bar{\mu}) \frac{\bar{z}}{z})$ .

Natural flat coordinates  $t_i = \int_{a_i} \Omega$  and conjugated

momenta  $p^i = \int_{b^i} \Omega$ .

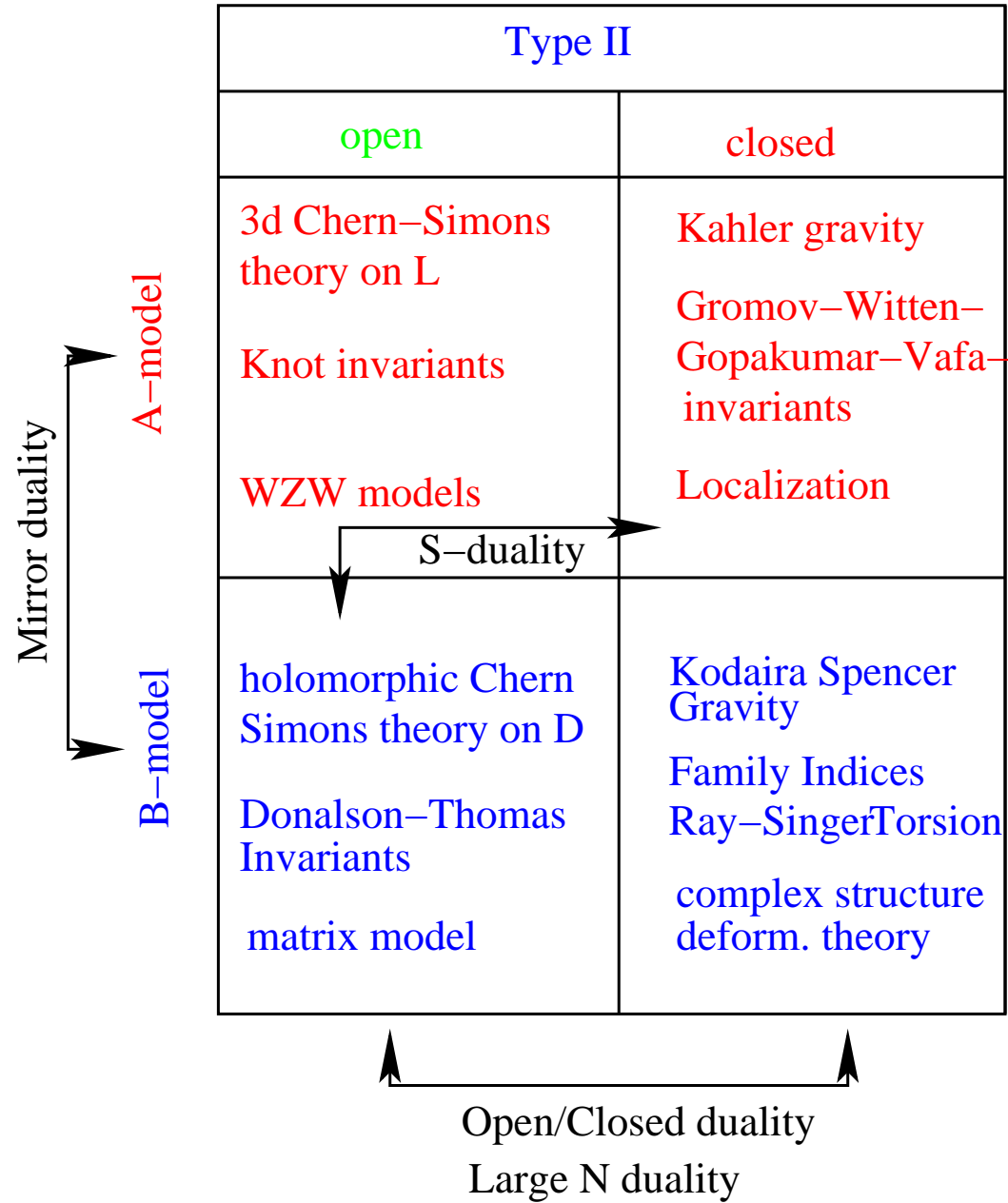
$F_0$  calculated by periods. Higher  $F_g$  hard to obtain in this framework.

Open string: Example D-6 brane  $D = W$

$$W_{supo} = \int_W \Omega \wedge \text{Tr} \left[ A \wedge \partial \bar{A} + \frac{2}{3} A \wedge A \wedge A \right]$$

A  $U(N)$  valued  $(1,0)$ -form on  $W$ . In simple situations this integral can be calculated by **relative period integrals**.

$u_i = \int_{c_i} \Omega$ . Higher genus amplitudes are hard to obtain.

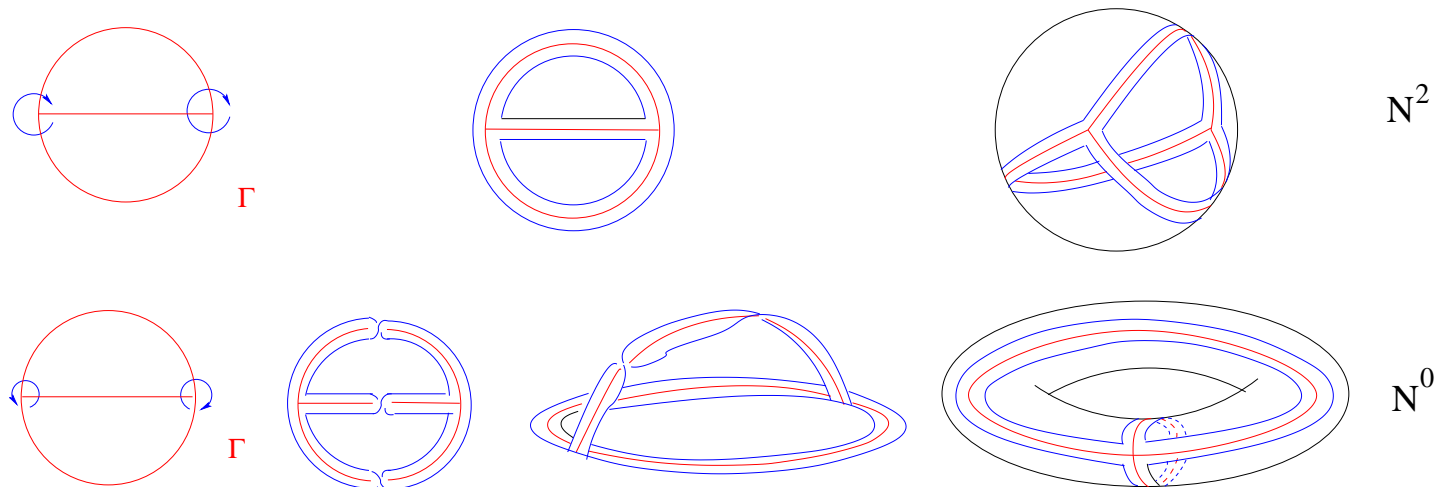


## Large $N$ -expansion of Chern-Simons theory:

$$S = \frac{k}{4\pi} \int_L \text{Tr} \left( A \wedge \dot{A} + \frac{2}{3} A \wedge A \wedge A \right)$$

A  $U(N)$  gauge connection in the trivial bundle over  $L_3$

Usual combinatoric of large  $N$  expansion ( $g_s = \frac{2\pi}{k+N}$ )



With the 't Hooft parameter  $t = g_s N$  one can expand  $F = \text{Log}(Z)$  as

$$F_{CS} = \sum_{g=0}^{\infty} \sum_{h=1}^{\infty} F_{g,h} g_s^{2g-2} t^h$$

If the sum over holes can be performed, this looks like a closed string expansion

$$F_{CS} = \sum_{g=0}^{\infty} g_s^{2g-2} F_g(t) .$$

**Dual backgrounds:** Chern-Simons on  $L \Leftrightarrow$  Topological string on CY  $M$ .

- ★ Deg. holomorphic open instantons on  $T^*L \sim$  oriented graphs of CS on  $L$ . Witten 95
- ★ The geometric transition  $T^*S^3 \rightarrow M = \mathcal{O}(-1) \oplus \mathcal{O}(-1) \rightarrow \mathbb{P}^1$  provides the dual closed string background Gopakumar and Vafa 99 i.e.

$$F_{TS}(M, t) = F_{CS}(S^3, t).$$

't Hooft param. identified with Kähler param. of  $\mathbb{P}^1$ .



## Generalization by orbifoldisation AKMV 2002

$$\begin{array}{ccc}
 T^*S^3 & \sim & M = \mathcal{O}(-1) \oplus \mathcal{O}(-1) \rightarrow \mathbb{P}^1 \\
 \downarrow \mathbb{Z}_p & & \downarrow \mathbb{Z}_p \\
 T^*L(p, 1) & \sim & A_p - \text{geometry}
 \end{array}$$

$$S^3: |x|^2 + |y|^2 = 1, \quad \mathbb{Z}_P : (x, y) \mapsto e^{\frac{2\pi i}{p}} (x, y),$$

$\pi_1(L(p, 1)) = \mathbb{Z}_p \rightarrow$  the  $\mathbb{Z}_p$  discrete flat connections

break  $U(N) \rightarrow U(N_1) \cdots U(N_p) \rightarrow t_i = g_s N_i$

$M/\mathbb{Z}_p = \{xy = (e^v - 1)(e^{v+pu} - 1)\}$  resolved geometry

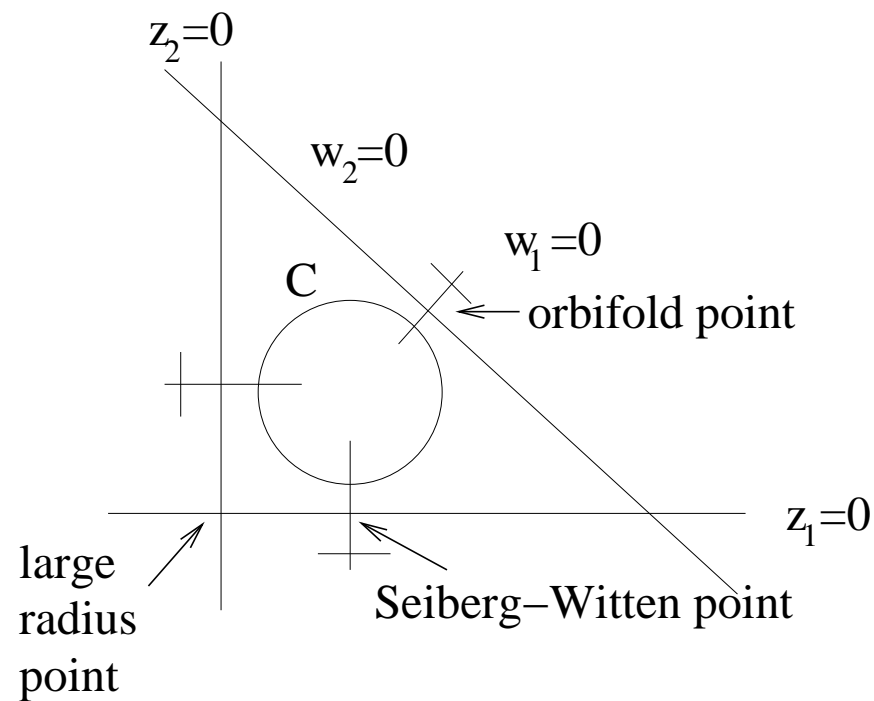
$\hat{M}$  has  $p$   $(\mathbb{P}^1)$ 's with  $p$  complexified Kähler parameters  $s_i$

$$F_{TS}(\hat{M}, s_1, \dots, s_p) = F_{CS}(L(p, 1), t_1, \dots, t_p) .$$

Non-trivial identification  $s_i = t_i$ , where the  $s_i = \int_{a_i} \Omega$  are flat coordinates near the small radius orbifold point in  $\hat{M}$ , i.e.  $Z_{TS}(\hat{M}, s_1, \dots, s_p)$  is a resummation of the ordinary instanton partition function.

Mathematically this calculates orbifold Gromov-Witten invariants [Coates, Iritani, Ruan, Chiodo...](#)

E.g. for  $p = 2$ ,  $\hat{M} = \mathcal{O}(-K_{F_0}) \rightarrow F_0$ .  $t_i \sim \log(z_i)$ ,  
 $s_i \sim \sqrt{w_i}$ ,  $i = 1, 2$ .



*Schematic complex moduli space for the mirror of  $\mathcal{O}(-K_{F_0}) \rightarrow F_0$*

## The Topological Vertex

The topological vertex is a building block to solve the topological string on any non-compact toric Calabi-Yau using the large N-duality to Chern-Simons Theory [AKMV 03](#) .

## Toric CY backgrounds

$$M_T = (\mathbb{C}^m - Z(\{D_{i_1} \cdots D_{i_s}\})) / (\mathbb{C}^*)^r \quad d = m - r$$

where the  $(\mathbb{C}^*)^r$  action on the coordinates  $x_i$  of  $\mathbb{C}^m$  is

specified by charge vectors  $\vec{l}^{(k)}$

$$x_i \mapsto \mu_k^{l_i^{(k)}} x_i, \quad \text{with } l_i^{(k)} \in \mathbb{Z}, \quad \mu^{(k)} \in \mathbb{C}^*,$$

$i = 1, \dots, m, \quad k = 1, \dots, r$  . Canonical symplectic Form

$$\omega = \frac{i}{2} \sum_{k=1}^d dx_k \wedge d\bar{x}_k = \frac{1}{2} \sum_{k=1}^d d|x_k|^2 \wedge d\theta_k = \sum_{k=1}^d du_k \wedge dv_k$$

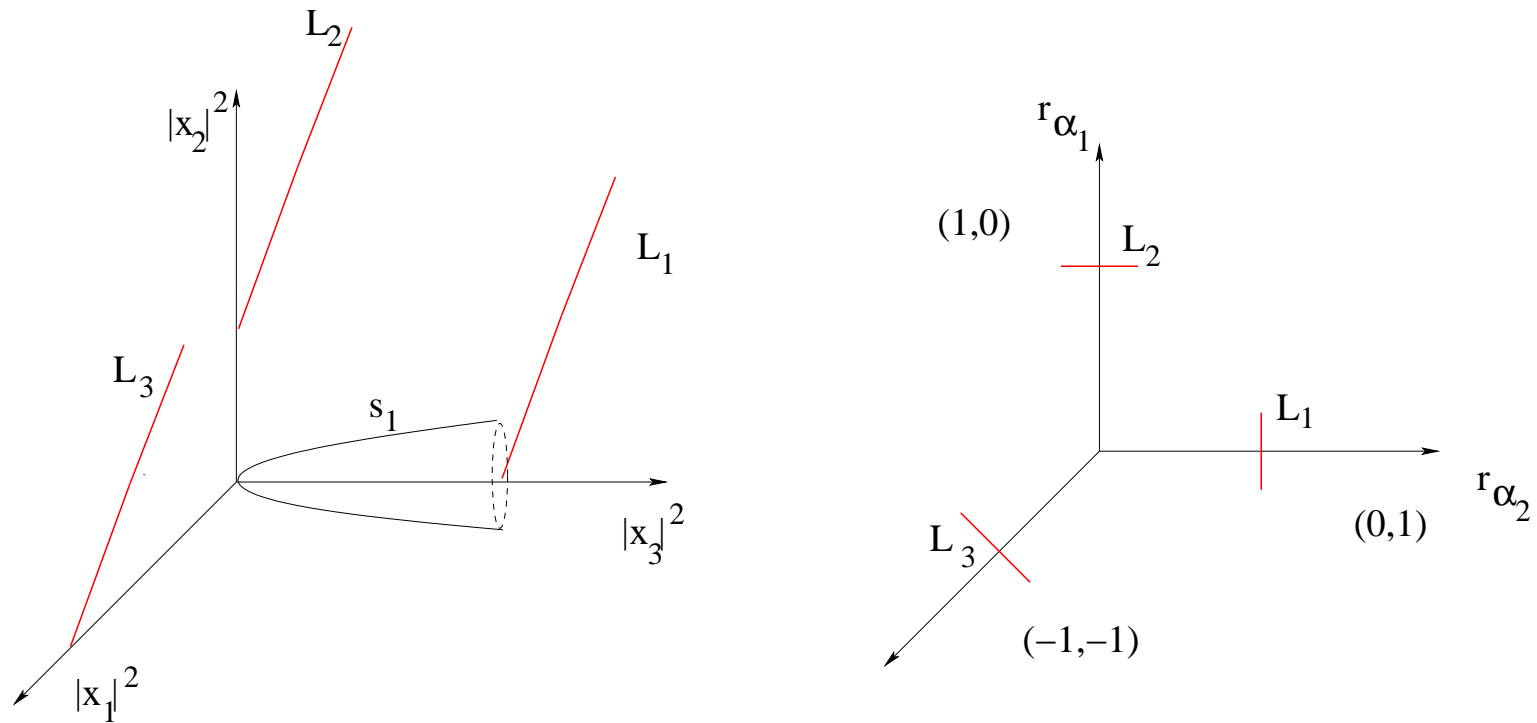
$$c_1(T_M) = 0 \iff \sum_i l_i^{(k)} = 0, \quad \forall k$$

Then in a patch  $\mathbb{C}^3$  parametr. by  $x_1, x_2, x_3$  one has a  $T^2 \times \mathbb{R}$  fibration over  $B_3$  generated by flows  $\partial_\alpha x_k = \{r_\alpha, x_k\}_\omega$  of three Hamiltonians

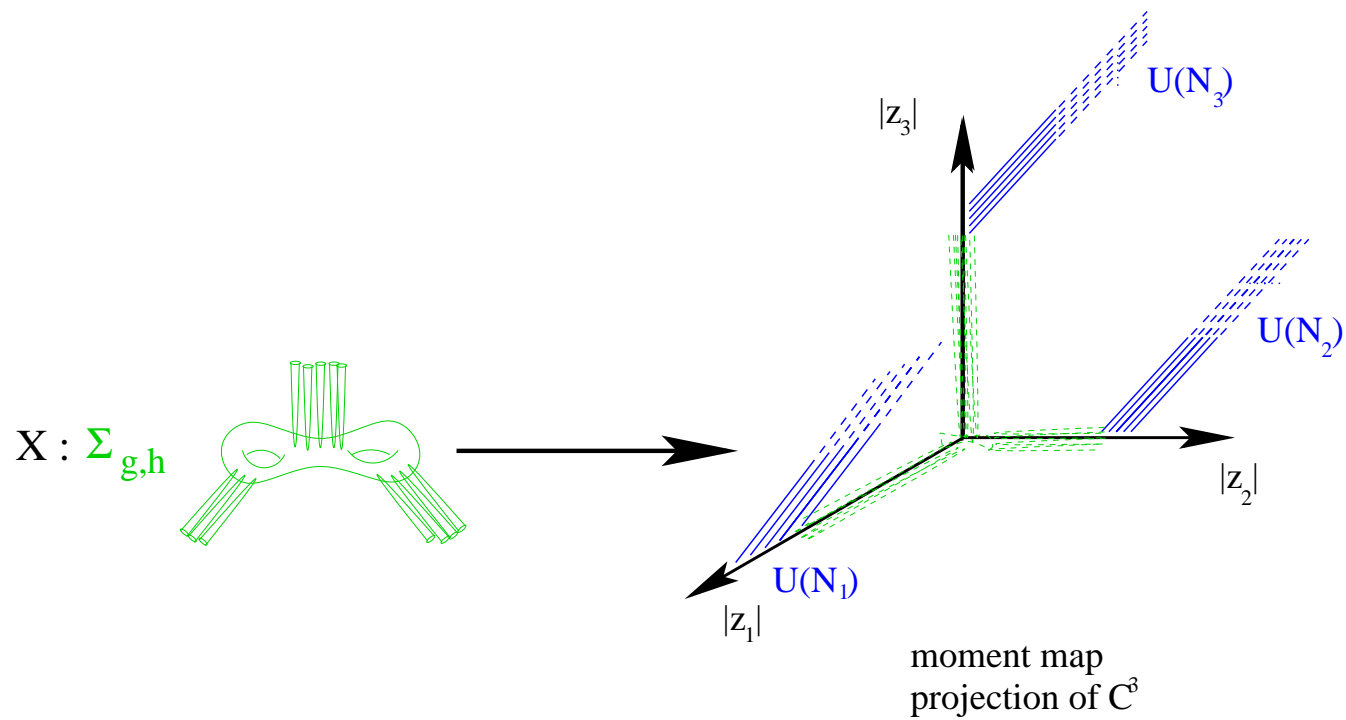
$$r_{\alpha_1} = |x_1|^2 - |x_2|^2, \quad r_{\alpha_2} = |x_3|^2 - |x_1|^2, \quad r_R = \text{Im}(x_1 x_2 x_3).$$

Harvey-Lawson SLAGs  $\sim \mathbb{C} \times S^1$

$$\begin{aligned} L_1 : & \quad r_{\alpha_1} = 0, & \quad r_{\alpha_2} = s_1, & \quad r_R \geq 0, & \quad \text{Re}(x_1 x_2 x_3) = 0 \\ L_2 : & \quad r_{\alpha_1} = -s_2, & \quad r_{\alpha_2} = 0, & \quad r_R \geq 0, & \quad \text{Re}(x_1 x_2 x_3) = 0 \\ L_3 : & \quad r_{\alpha_1} + r_{\alpha_2} = 0, & \quad r_{\alpha_1} = s_3, & \quad r_R \geq 0, & \quad \text{Re}(x_1 x_2 x_3) = 0 \end{aligned}$$



The Vertex calculates the GW invariants for the following maps :



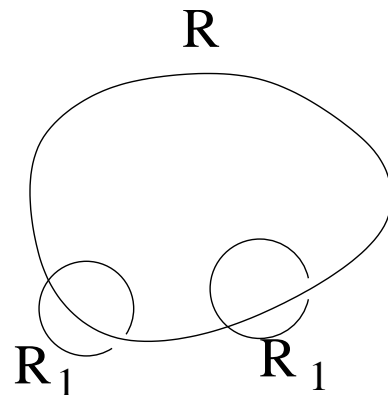
$$Z(V_i) = \sum_{R_1, R_2, R_3} C_{R_1, R_2, R_3}(q_{g_s}) \prod_{i=1}^3 \text{Tr}_{R_i} V_i$$



$$C_{R_1 R_2 R_3}(q_{g_s}) = \sum_{R, Q_1, Q_2} N_{Q_1, R}^{R_1} N_{Q_3^t R}^{R_3^t} q^{\kappa_{R_2}/2 + \kappa_{R_3}/2} \frac{W_{R_2^t Q_1}(q_{g_s}) W_{R_2 Q_3^t}(q)}{W_{R_2}(q_{g_s})},$$

where  $W_{R_1, R_2}$  are Hopf links,  $N_{R_1 R_2}^{R_3}$  are tensor product coefficients and  $\kappa_R = \sum_i l_i(l_i - 2i + 1)$  and  $l_i$  is the length of the row of the  $i'$ th line in the Young-Tableaux of the representation  $R_i$

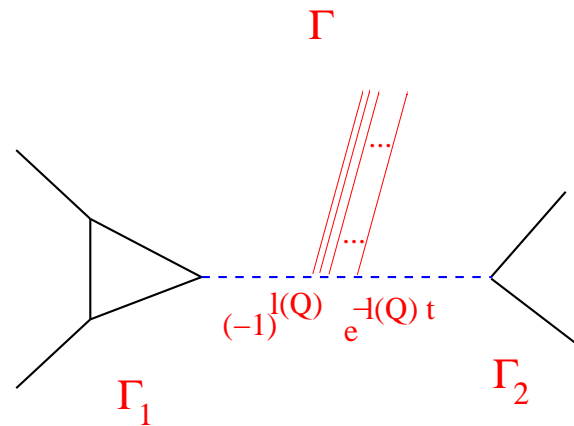
It is up to transposition related to the link invariant



$$W_{R_1 R_2 R}(\mathcal{L}) = \frac{W_{R_1 R}(\mathcal{L}_1) W_{R_2 R}(\mathcal{L}_2)}{W_R(\mathcal{K})}$$

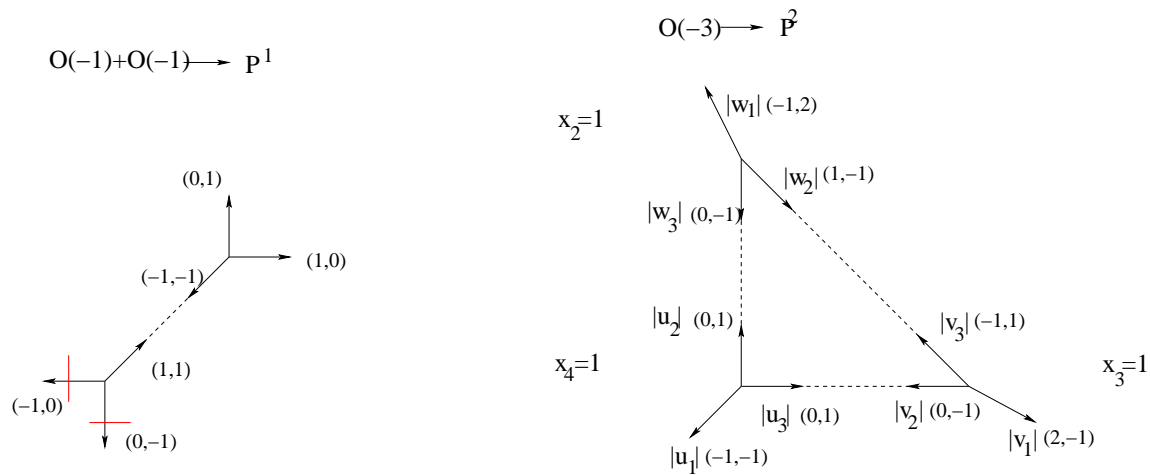
**Gluing rules:** If  $\Gamma = \Gamma_1 \cup \Gamma_2$  and  $X_{\Gamma_i}$  are the associated toric varieties then

$$Z(X_\Gamma) = \sum_Q Z(X_{\Gamma_L})_Q (-1)^{l(Q)} e^{-l(Q)t} Z(X_{\Gamma_R})_Q t \quad (1)$$



Here  $t$  is the Kähler parameter “size” of the connecting  $\mathbf{P}^1$ . The quantity  $(-1)^{l(Q)} e^{-l(Q)t}$ , with  $l(Q)$  the number of boxes in the Young-Tableaux of the intermediate representation, can be viewed as **propagator**. Here we suppress the data of the **framing**, which are in general important to patch together arbitrary toric varieties.

# Examples:



$$Z_{\mathbb{P}^1}(V_1, V_2) = \sum_{R, Q_1, Q_2} C_{Q_1 Q_2 R} t (-1)^{l(R)} e^{-l(R)t} C_{R..} \text{Tr}(V_1) \text{Tr}(V_2)$$

$$Z_{\mathbb{P}^2} = \sum_{R_1, R_2, R_3} (-1)^{\sum_i l(R_i)} e^{-\sum_i l(R_i)t} q^{\sum_i \kappa_{R_i}} C_{.R_2 R_3} C_{.R_1 R_2} C_{.R_3 R_1} t$$

## Conclusions

- Large N-duality between Chern-Simons theory and topological string on CY backgrounds provides many solvable examples for Gauge-Theory/String Theory dualities.
- The vertex solves the topological string on any toric CY in the large radius region. → MNOP 04
- Moreover the duality applies also to the orbifold phases. In the latter case one can use it also to calculate **open orbifold**  $G - W$  invariants BKMP 07.

- The relation provides further many non-trivial combinatorial identities. For example for  $2d$  gravity integrals [MV 01](#).

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